

*Social Insurance contributes to economic security and a vibrant economy*

The image displays three book covers from the National Academy of Social Insurance. The leftmost cover, titled "Strengthening Social Security for Vulnerable Groups," features a collage of photos showing people in various settings, including a family and an elderly person. The middle cover, "Workers' Compensation: Benefits, Coverage, and Costs, 2006," shows a map of the United States with state boundaries. The rightmost cover, "Administrative Solutions in Health Reform," includes a green diamond graphic and text identifying it as a report from a study panel on administrative issues in expanding access to health care, dated July 2009. Logos for the National Academy of Social Insurance, the Robert Wood Johnson Foundation, and the National Association of Public Administrators are visible at the bottom of the covers.

**Annual Report 2009**  
July 2008 - June 2009

NATIONAL  
ACADEMY  
OF • SOCIAL  
INSURANCE



## Table of Contents

Page(s)	<u>Section I. Introduction</u>	Page(s)	<u>Section III. Leadership Development</u>
1	Letter from the Board Chair: <i>Kenneth S. Apfel</i>	24	Overview
2	Letter from the President: <i>Janice M. Gregory</i>	25	Internship Programs
3	About the National Academy of Social Insurance	26-27	Washington Internship on Social Insurance
4	Strategic Goals: 2009-2011	28-29	Somers Aging and Long-Term Care Research Internship
5-6	NASI in a Nutshell: FY 2009 Overview	30	Nathan J. Stark Internship for Nonprofit Development
6	On the Horizon: FY 2010 Preview	30	Eileen Sweeney Graduate Internship in Disability Policy
	<u>Section II. Policy Research, Education &amp; Communications</u>	31	Intern Academy
7	Overview	32	John Heinz Dissertation Award
8-12	Social Security		<u>Section IV. The NASI Network</u>
13	Medicare/Medicaid/Health	33	Letter from the Executive Vice President: <i>Pamela J. Larson</i>
14-15	Workers' Compensation	34-35	New Members of the Academy
16	Disability	36-37	Social Insurance Research Network (SIRN)
17	Long-Term Care	38-41	2009 Robert M. Ball Award
18	Unemployment Insurance	42-43	Individual Donors
18	Economic Policy and Related Topics	44	Institutional Supporters & Partners
19-22	2009 Policy Conference	45	Ways to Support NASI
23	On the Web and in the News		<u>Section V. Administration and Financials</u>
		46	Revenue Sources
		47	Expenditures
		48-49	NASI Staff

Letter from the Board Chair: *Kenneth S. Apfel*



Dear Academy Members, Associates, Sponsors, Partners and Friends,

In 2011, the National Academy of Social Insurance will celebrate its 25<sup>th</sup> anniversary. Our country's social insurance system has evolved even since NASI was founded in 1986, and it will continue to evolve over the next generation to meet changing demographic, human and economic needs. Across this evolving landscape, NASI will continue to convene experts, policymakers, researchers, advocates, and young leaders to ensure the viability of our social insurance programs.

NASI's work helps policymakers and leaders adapt our existing income and retirement security systems so that workers, retirees and senior citizens, and their families do not suffer from poverty and economic insecurity. During FY 2009, NASI worked on several in-depth reports on benefit adequacy for vulnerable populations, which includes widowed spouses, low-paid workers, people who have spent time out of the workforce because of childcare or eldercare responsibilities, beneficiaries who live to advanced ages,

and older workers with occupational disabilities. The analysis and insights from these reports have been shared with Congress, the new Administration, and other leaders across the country. NASI's unique and focused research and policy analyses helps prepare our policymakers to tackle existing and emerging challenges.

I would like to thank Margaret Simms for the strong leadership she provided as President of NASI from 2007 to 2009. During Margaret's tenure as President, NASI dealt with financial challenges resulting from an increasingly competitive nonprofit sector, as well as from the effects of the global recession. Under Margaret's leadership, NASI made a structured effort to examine its mission and programs in strategic terms. Our new strategic plan helps to ensure that our resources are efficiently utilized to fulfill the goals of the organization. Over the past year, NASI has focused on building its capacity to achieve our mission: *To promote understanding of how social insurance contributes to economic security and a vibrant economy.*

As you will read in this report, during FY 2009, NASI continued to shape the public policy dialogue on a range of social insurance issues, including retirement and income security, long-term care, workers' compensation, and how to administer health care reform. The organization covered these issues with a range of tools – from various publications to unique events. NASI issued over two dozen important policy briefs, reports, and working papers in FY 2009 that were widely disseminated and used by reporters and media personnel to contextualize policy coverage. During FY 2009, we held eight events that convened top policy-

makers (including members of the new Administration and key Congressional staff), researchers, and other experts and practitioners, and we captured and disseminated the knowledge generated through these exchanges through new publications.

As Chair of the Board of Directors of NASI, it is my privilege to represent the organization and its members. I am always happy to discuss NASI's work and our latest research. I can be reached by calling the NASI office at (202) 452-8097.

Sincerely,

A handwritten signature in black ink that reads "Kenneth S. Apfel". The signature is written in a cursive style.

Kenneth S. Apfel  
Chair

**Kenneth S. Apfel** is the Director of the Management, Finance and Leadership Program at the University of Maryland. Prior to this, he held the Sid Richardson Chair of the Lyndon B. Johnson School of Public Affairs at the University of Texas. Previously, he served as Commissioner of Social Security. He was also the Associate Director for Human Resources at the Office of Management and Budget (OMB) in the Executive Office of the President. In March 1993, Professor Apfel was appointed by the President to serve as Assistant Secretary for Management and Budget at the U.S. Department of Health and Human Services. Previously, he served as legislative director for Senator Bill Bradley, as well as staff member for the U.S. Senate Budget Committee. A member of the National Academy of Social Insurance since 1998 and co-chair of the NASI study panel, "Uncharted Waters: Paying Benefits From Individual Accounts in Federal Retirement Policy," he currently serves as Chair of the NASI Board. Professor Apfel received his Masters in public affairs from the LBJ School of Public Affairs at the University of Texas.

Letter from the President: *Janice M. Gregory*

Dear Academy Members, Associates, Sponsors, Partners, and Friends,

I became the tenth President of the National Academy of Social Insurance on July 1, 2009, which marked the start of Fiscal Year 2010. I am very proud to be back in the leadership of NASI, after having served two terms on the Board of Directors in the 1990s, and also as Chair of the Membership Committee.

For almost twenty-five years, NASI has conducted top flight research on our social insurance programs; successfully educated many people in policy and media positions regarding how these programs work and the challenges and opportunities they face; and brought together individuals with divergent views on these programs for civil discourse and innovative thinking.

In terms of organizational operations, NASI is now in the second year of our current three-year strategic plan, which covers fiscal years 2009-2011. NASI's goals and priorities for this current and the next fiscal year are as follows:

- Convene key audiences, produce policy analyses and synthesis products and promote the open exchange of ideas to develop solutions to policy problems.
- Communicate NASI's outputs effectively to key audiences.

- Develop future leaders of social insurance in the United States.
- Use, recognize and sustain the expertise of members in NASI's work.
- Increase funding to support the mission and vision of NASI.

Overall, NASI will continue its public education efforts to broaden the discussion around social insurance program challenges and solutions. NASI will also continue to focus on measuring the outcome and impact of our work.

NASI's efforts have encouraged policymakers to focus on ensuring the adequacy of our retirement system. The economic crisis has underscored the policy points that NASI has consistently made over the past decade. But instead of focusing on why we cannot afford Social Security, NASI was ahead of the curve in identifying ways to ensure its solvency. NASI will continue to open up the debate and take the kind of fresh look that will help us reshape our retirement income framework to do a better job overall.

It is an indication of NASI's centrality in the field of social insurance and public policy that four out of the six experts who testified before the U.S. Senate Special Committee on Aging for a hearing on "Social Security: Keeping the Promise in the 21st Century" (held June 17, 2009) were Academy members. NASI's membership is comprised of over 800 of the nation's leading experts on social insurance. Each year, we add new individuals who have made significant contributions to the field. NASI is also developing new leaders in the field of social insurance through programs and outreach targeted at students and professionals early in their career. By collaborating across disciplines and practice areas, NASI will continue to promote informed decision-making to strengthen our social safety nets.

I hope you will join me in continuing to support NASI's efforts – from maintaining your active member status with membership dues, to attending the annual policy conference, to participating in study panels and leadership development programs, to sponsoring new initiatives that encourage systematic innovation of our social insurance system. NASI cannot accomplish its mission and strategic goals without the vital support of our Members, Associates, partners, and other supporters.

I'm always happy to discuss how NASI can partner with your group to promote shared interests. I can be reached at [janice\\_gregory@nasi.org](mailto:janice_gregory@nasi.org) or by calling the NASI office at (202) 452-8097.

Thank you,

A handwritten signature in black ink that reads "Janice M. Gregory". The signature is fluid and cursive.

Janice M. Gregory  
President

**Janice M. Gregory** is retired after serving as Senior Vice President for the ERISA Industry Committee (ERIC). She directed ERIC's legislative affairs from 1984 through 2006. From 1979 through 1983, she coordinated activities of the Subcommittee on Social Security for its Chairman, the Honorable J.J. Pickle of Texas. She was awarded the Social Security Administration Commissioner's Citation in 1984. Ms. Gregory served as a co-chair for the Academy's 12<sup>th</sup> Annual Conference, "Ensuring Health and Income Security for an Aging Workforce," in January 2000, and completed two terms as NASI's Vice President in May 2005. She is a contributing author to *Prospects for Social Security Reform and Checks and Balances in Social Security*, and is principal author of "The Vital Connection: An Analysis of the Impact of Social Security Reform on Employer-Sponsored Retirement Plans" and "Getting the Job Done: A White Paper on Emerging Pension Issues." In 2003, she was named one of the one hundred most influential people in finance by *Treasury and Risk Management* magazine. A founding member of the National Academy of Social Insurance, Ms. Gregory holds a master of science in Organization Development from American University.

## About the National Academy of Social Insurance

The **National Academy of Social Insurance (NASI)** is a nonprofit, nonpartisan organization made up of the nation's leading experts on social insurance.

Our mission is to promote understanding of how social insurance contributes to economic security and a vibrant economy. Through NASI's work, social insurance will continue to play a critical role in achieving a civil and fair society by furthering economic justice for workers and families in America.

Social insurance encompasses broad-based systems for insuring workers and their families against economic insecurity caused by loss of income from work and the cost of health care.

Despite the importance of social insurance in the United States, few people know the programs well. The Academy was established as an independent, nonpartisan and nongovernment organization to promote informed discussion and debate on social insurance issues, and to stimulate fresh thinking.

The Academy convenes steering committees and study panels that are charged with conducting research, issuing findings, and, in some cases, reaching recommendations based on their analysis. Members of these groups are selected for their recognized expertise and with due consideration for the balance of disciplines and perspectives appropriate to the project.

### Board of Directors (FY 2009)

**Kenneth S. Apfel**, Chair  
**Margaret Simms**, President  
**Janice M. Gregory**, President-Elect  
**Jacob Hacker**, Vice President  
**Jennie Chin Hansen**, Secretary  
**Richard A. Hobbie**, Treasurer

**Nancy J. Altman**  
**Christine Baker**  
**Kathleen A. Buto**  
**Susan Daniels\***  
**Judy Feder\***  
**Michael Graetz\***  
**Jerry Mashaw\*\***  
**Lisa Mensah**  
**Marilyn Moon\*\***  
**Patricia M. Owens\*\***  
**Jill Quadagno**  
**Joseph Quinn**  
**Gerald Shea**  
**William E. Spriggs**  
**Lawrence H. Thompson**

\*Term began 5/1/09

\*\*Term ended 5/1/09

### NASI's Key Interest Areas Include:

Social Security

Medicare/Medicaid/Health Coverage

Workers' Compensation

Disability

Long-Term Care

Unemployment Insurance

Workforce Issues and Employee Benefits

Poverty & Income Assistance

**Strategic Goals: 2009-2011**

*Strategic Goal 1*

*Convene key audiences, produce policy analyses and synthesis products and promote the open exchange of ideas to develop solutions to policy problems.*

*Strategic Goal 2*

*Communicate NASI's outputs effectively to key audiences.*

*Strategic Goal 3*

*Develop future leaders of social insurance in the United States.*

*Strategic Goal 4*

*Use, recognize and sustain the expertise of members in NASI's work.*

*Strategic Goal 5*

*Increase funding to support the mission and vision of NASI.*



Rose Rubin and Shelley White-Means at NASI's 21<sup>st</sup> Annual Policy Conference (held January 29-30, 2009)

## NASI in a Nutshell: FY 2009 Overview

A glance at NASI's major activities in FY 2009:

### **Summer: July 2008 - September 2008**

REPORT: *Workers' Compensation: Benefits, Coverage, and Costs, 2006*

POLICY BRIEF: *Social Security and Private Savings: Complementary Roles* (Social Security Brief No. 29)

BOOK: *Older and Out of Work: Jobs and Social Insurance for a Changing Economy*  
(Available from the W.E. Upjohn Institute for Employment Research)

MEMBERSHIP: New Member Nominations

EVENT (July 17, 2008): "Embracing Your Future: Interns Discuss Social Security and Medicare"  
- 4<sup>th</sup> Annual Intern Academy

---

### **Fall: October 2008 - December 2008**

EVENT (October 6, 2008): "Regulating Markets: The Private Abuse of the Public Interest"

EVENT (October 16, 2008): "Pathways to Economic Security for People with Disabilities: Social Insurance, Asset Development, and Supported Employment"

COMMUNICATIONS: Launch of NASI Blog: *Policies to Promote Economic Security for Families*

MEMBERSHIP: 42 Members accepted into the Academy

---

### **Winter: January 2009 - March 2009**

REPORT: *Strengthening Social Security for Vulnerable Groups*

POLICY BRIEF: *Long-Term Services and Supports as Part of Health Care Reform: Relief for the Invisible Uninsured?*  
(Health and Income Security Brief No. 12)

EVENT (January 29 - January 30, 2009): "Social Insurance, Fiscal Responsibility, and Economic Growth"  
- 21<sup>st</sup> Annual Policy Conference

WORKING PAPERS: NASI-NAPA Study Panel on Expanding Access to Health Care release ten working papers on key management and administrative issues that are likely to arise as part of current efforts to expand health coverage

(Continued on next page)



## NASI in a Nutshell: FY 2009 Overview

A glance at NASI's major activities in FY 2009 (continued):

### Spring: April 2009 - June 2009

POLICY BRIEF: *Sharing Risks in a New Era of Responsibility* (Health and Income Security Brief No. 13)

POLICY BRIEF: *Curbing High Health Costs: The Linchpin for Successful Health Reform* (Health and Income Security Brief No. 14)

POLICY BRIEF: *Social Security Finances: Findings of the 2009 Trustees Report* (Social Security Brief No. 30)

EVENT (May 15, 2009): "What's the News in the 2009 Social Security Trustees' Report?"

EVENT (June 3, 2009): "Strengthening Social Security for Vulnerable Groups"

EVENT (June 17, 2009): "The Quest for Adequate Retirement Income"

EVENT (June 17, 2009): "2009 Robert M. Ball Award Reception"

2009 Winner of the Robert M. Ball Award: **Alicia H. Munnell**, Center for Retirement Research, Boston College

## On the Horizon: FY 2010 Preview

New and upcoming publications and events from NASI:

### Summer: July 2009 - September 2009

REPORT: *Administrative Solutions in Health Reform*

REPORT: *Workers' Compensation: Benefits, Coverage, and Costs, 2007*

OPINION POLL & REPORT:  
"Economic Crisis Fuels Support for Social Security: Americans' Views on Social Security"

EVENT (July 30, 2009)  
"Social Security Academy of Interns: Don't Leave Washington Clueless About Social Security" - 5<sup>th</sup> Annual Intern Academy

### Fall: October 2009 - December 2009

REPORT & EVENT (October 30, 2009): *Fixing Social Security: Adequate Benefits, Adequate Finances*

POLICY BRIEF: *When to Claim Social Security Benefits: Questions to Consider*

EVENT (November 18, 2009):  
"Implications of Developments in Workers' Compensation for Social Security Disability Insurance: A Policy Education Seminar"

### Winter: January 2010 - March 2010

EVENT (January 21 - January 22, 2010): "Beyond the Bad Economy: Jobs, Retirement, Health, and Social Insurance" - 22<sup>nd</sup> Annual Policy Conference



## Policy Research, Education & Communications: Overview

### Policy Research & Education

Our economic and public policy landscape is constantly changing. To help prepare our policymakers for the challenges they face today and those that lie ahead (and to help better inform Americans about their economic security), NASI conducts ongoing research and policy analyses within the various inter-related fields of social insurance.

#### Income Security Standing Committee

**Joseph F. Quinn**, *Chair*, Boston College

**Nancy J. Altman**, Pension Rights Center

**Donna M. Butts**, Generations United

**Michael J. Graetz**, Columbia Law School

**Richard A. Hobbie**, National Association of State Workforce Agencies

**Joan A. Kuriansky**, Wider Opportunities for Women

#### Health Policy Standing Committee

**Jacob Hacker**, *Chair*, Yale University

**Kathy Buto**, Johnson & Johnson

**Judy Feder**, Center for American Progress

**Jon Gruber**, Massachusetts Institute of Technology

**Jay Himmelstein**, University of Massachusetts Medical School

**Jon Oberlander**, University of North Carolina, Chapel Hill

**Paul Van de Water**, Center on Budget and Policy Priorities

### Strategic Goal 1

*Convene key audiences, produce policy analyses and synthesis products and promote the open exchange of ideas to develop solutions to policy problems.*

### Strategic Goal 2

*Communicate NASI's outputs effectively to key audiences.*

### Key Policy Areas in FY 2009

Social Security

Medicare/Medicaid/Health

Workers' Compensation

Disability

Long-Term Care

Unemployment Insurance

### Access to NASI Publications & Resources

Most NASI publications, conference proceedings, and other resources including the *Social Insurance Sourcebook*, are available for free download at NASI's website: [www.nasi.org](http://www.nasi.org)

## Policy Research, Education & Communications: Social Security

### Strengthening Social Security for Vulnerable Groups

*Sponsored by  
The Rockefeller Foundation*

NASI received support in April 2008 from The Rockefeller Foundation to award 12 innovation grants for policy proposals to strengthen Social Security for vulnerable groups. NASI issued a call for proposals in May 2008, and received nearly 40 submissions. An Advisory Committee reviewed and ranked the proposals.

#### Social Security Innovative Policy Program – Advisory Committee Members

**Lily Batchelder**, New York University School of Law

**Barbara Bovbjerg**, U.S. Government Accountability Office

**Paul Davies**, Office of Research, Evaluation, and Statistic, Social Security Administration

**Lawrence Johnston**, Office of the Legislative Counsel, U.S. House of Representatives

**Eric Kingson**, Social Work and Public Administration, Syracuse University

**Alice Wade**, Long-Range Estimates, Social Security Administration

**Debra Bailey Whitman**, U.S. Senate Special Committee on Aging

On July 24, 2008, the twelve winners of The Rockefeller Foundation Innovation Awards to Strengthen Social Security for Vulnerable Groups were announced at the Foundation's headquarters in New York City. The purpose of the project was to stimulate fresh thinking and generate policy options to improve economic security for American workers by strengthening Social Security for vulnerable groups such as low-paid workers, elderly widows, disabled workers, those over eighty years of age and others. Awardees submitted draft papers in September 2008 and met with the Advisory Committee on October 16, 2008, to discuss each paper. The twelve policy scholars selected for an award received up to \$30,000 each. All papers are available for download from NASI's website.

#### Policy Scholars and Their Proposals

“How Reducing the Work Requirement for Social Security Retirement Benefit Eligibility Would Impact Lifetime Low Wage Earners,” by **Andrew Biggs**, American Enterprise Institute for Public Policy Research

“Restoring Old Age Income Security for Low Wage Workers,” by **Patricia Dilley**, Levin College of Law

“A New Minimum Benefit for Low Lifetime Earners,” by **Melissa Favreault**, The Urban Institute

“Innovative Policies to Strengthen Social Security for Low-Wage Workers,” by **Tatjana Meschede** with **Thomas Shapiro** and **Laura Sullivan**, Institute on Assets and Social Policy, Brandeis University

“Crediting Care in Social Security: A Proposal for an Income Tested Care Credit,” by **Pamela Herd**, La Follette School of Public Affairs, University of Wisconsin, Madison

“Retirement Security for Family Elder Caregivers with Labor Force Employment,” by **Shelley White-Means**, University of Tennessee, and **Rose Rubin**, University of Memphis

“Proposal to Strengthen Social Security Benefits for Widows,” by **Joan Entmacher** and **Chad Newcomb**, National Women's Law Center

“Innovative Policies to Improve Social Security Disability Programs for Adults Experiencing Long Term Homelessness,” by **Yvonne Perret**, Policy Research Associates, and **Deborah Dennis**

“Strengthening Social Security for Workers in Physically Demanding Occupations,” by **Eric Klieber**, Buck Consultants

“Evaluating the Legal and Policy Implications of Judicial, Legislative, and Administrative Protections for Exempt Social Security Benefits and Related Funds Held in Bank Accounts,” by **John Infranca**

“Strengthening Social Security for Farm Workers: The Fragile Retirement Prospects for Hispanic Farm Worker Families,” by **Barbara Robles**, School of Social Work, Arizona State University

“Longevity Insurance: Strengthening Social Security for the Old-Old,” by **John Turner**, Pension Policy Consultant

## Policy Research, Education & Communications: Social Security

### Strengthening Social Security for Vulnerable Groups (Continued)

#### Synthesis Report

A synthesis report of this project - titled *Strengthening Social Security for Vulnerable Groups* - was released at a roundtable session at NASI's 21<sup>st</sup> Annual Policy Conference in January 2009. The roundtable session, "Strengthening Social Security: What is at Stake? What Will it Take?", was led by **Virginia Reno** (NASI), **Mark Schmitt** (*The American Prospect*), and **Margot Brandenburg** (The Rockefeller Foundation). Over 80 conference attendees participated in this roundtable and were able to meet the authors and discuss next steps. By exposing the vulnerability of rank and file Americans to the risks of a market economy, the financial crisis points to the need to address the adequacy of Social Security to help retirees and families offset losses elsewhere.

In April 2009, **Virginia Reno**, NASI's Vice President for Income Security, briefed OMB staff on issues in Social Security benefit adequacy and findings of the project. Reno was also invited to speak at a day-long meeting of President Obama's Economic Recovery Advisory Board's (PERAB) Retirement Security Task Force on May 20, 2009. Task Force members in attendance included **Richard Trumka** (Secretary-Treasurer of the AFL-CIO), **Anna Burger** (Chair of Change To Win), **Martin Feldstein** (economic adviser to President Reagan), **Roger Ferguson** (Task Force Chair and CEO, TIAA-CREF). Also in attendance was **Robert Rebelein** (Senior Economist for Public Finance, Council of Economic Advisors). Reno presented ideas from the synthesis report, *Strengthening Social Security for Vulnerable Groups*, about ways to improve Social Security benefits, especially in light of the economic crisis and dwindling retirement savings.

#### Dissemination Activities

The final synthesis report of this project was shared with Congress, the new Administration, researchers, analysts, and students through the following activities:

Meeting of Vice President Biden's Middle Class Task Force (January 2009)

"Economic Crisis Underscores Need to Improve Social Security Benefits" -- Article by Virginia Reno appeared in *The Hill's* Congress Blog (February 3, 2009)

"Thinking Big, Thinking Forward" Conference (February 11, 2009)

Capitol Hill briefing organized by the The National Committee To Preserve Social Security and Medicare (February 18, 2009)

"Aging in America" Conference (March 2009)

New America Foundation's "Poverty Forum" (April 2009)

Meeting of the Leadership Council of Aging Organizations (April 25, 2009)

Meeting with Office of Management and Budget (OMB), Income Maintenance Branch (April 2009)

Capitol Hill briefing organized by NASI on "What's the News in the 2009 Social Security Trustees Report?" (May 15, 2009)

Meeting of President Obama's Economic Recovery Advisory Board (May 20, 2009)

The Long-Term Care Discussion Group (May 20, 2009)

Meeting with the Leadership Council of Aging Organizations (May 27, 2009)

"America's Future Now" Conference (June 3, 2009)

U.S. Senate Special Committee on Aging hearing on "Social Security: Keeping the Promise in the 21st Century" (June 17, 2009)

NASI policy seminar on "The Quest for Adequate Retirement Income" (June 17, 2009)

NASI's "Social Security Academy for Interns: Don't Leave Washington Clueless about Social Security!" (July 30, 2009)

#### National Academy of Social Insurance

1776 Massachusetts Ave, NW, Suite 615 • Washington, DC 20036  
Phone: (202) 452-8097 Fax: (202) 452-81111

[www.nasi.org](http://www.nasi.org)

## Policy Research, Education & Communications: Social Security

### Election Toolkit

*Sponsored by The Ford Foundation*

In September 2008, NASI issued an “Election Toolkit on Social Security and Medicare”, which is designed to assist those who are involved in election debates and issue forums, as well as for educators looking for accessible, nonpartisan material for students about social insurance issues. The toolkit was also included in New America Media’s October newsletter.

The Election Toolkit includes the following resources:

Updated *Social Security Primer* (Presentation in PowerPoint format)

Updated *Social Insurance Sourcebook* (Web-based fact sheets)

14 NASI Policy Briefs and Reports:

*Medicare Finances: Findings of the 2008 Trustees Report* (Medicare Brief No. 18 - March 2008)

*Social Security Finances: Findings of the 2008 Trustees Report* (Social Security Brief No. 28 - March 2008)

*Achieving Universal Participation in Social Insurance Systems* (Health and Income Security Brief No. 11 - March 2008)

*Children’s Stake in Social Security* (Social Security Brief No. 27 - February 2008)

*Children’s Stake in Social Security: Key Facts* (February 2008)

*Social Security: An Essential Asset and Insurance Protection for All* (Social Security Brief No. 26 - February 2008)

*Building on Social Security’s Success* (Report - November 2007)

*Social Insurance Benefits Need Not Limit Economic Growth: New Evidence* (Health and Income Security Brief No. 10 - September 2007)

*Social Security and Retirement Income Adequacy* (Social Security Brief No. 25 - May 2007)

*Survivor Benefits for Families of Deceased Servicemembers and Overseas Contract Workers* (Social Security Brief No. 23 - October 2006)

*Can We Afford Social Security When Baby Boomers Retire?* (Social Security Brief No. 22 - May 2006)

*Options to Balance Social Security Funds Over the Next 75 Years* (Social Security Brief No. 18 - February 2005)

*Is More Choice Always Better?* (Social Security Brief No. 7 - October 1999)

*Why Social Insurance?* (Social Security Brief No. 6 - March 1999)

### Social Security and Private Savings

*In partnership with the Aspen Institute Initiative on Financial Security (IFS)*

In September 2008, in partnership with the Aspen Institute Initiative on Financial Security (IFS), NASI issued the brief, *Social Security and Private Savings: Complementary Roles*.

The brief concludes: “As insurance, Social Security is about community; everyone shares the risks (of old-age, disability, death of a family worker) and the benefits go to those who experience the insured losses. Savings, in contrast, are liquid assets that can generally be spent for any purpose at any time.”

The brief was shared with the NASI community and with 1,600 activists in the asset building community by IFS. NASI and IFS released the brief at a roundtable on September 11, 2008, at meetings of the Corporation for Enterprise Development in Arlington, Virginia.



**National Academy of Social Insurance**

1776 Massachusetts Ave, NW, Suite 615 • Washington, DC 20036  
Phone: (202) 452-8097 Fax: (202) 452-81111

[www.nasi.org](http://www.nasi.org)

## Policy Research, Education & Communications: Social Security

### Strengthening Economic Security for Elders and Families: The Role of Social Security

*Funded by  
The Atlantic Philanthropies*

In December 2008, **Virginia Reno** produced a 10-page paper, *Strengthening Economic Security for Elders and Families: The Role of Social Security*. The purpose was to aid the foundation in its strategic planning. The paper provided background on Social Security, assessed the current political and policy debates, and offered near-term and long-term strategies to use Social Security to improve economic security.

### 2008 Social Security Trustees Report

*Supported by The Ford Foundation*

On May 7, 2009, NASI issued the policy brief, *Social Security Finances: Findings of the 2009 Trustees Report* (by **Joni Lavery**) to accompany the release of the new *Social Security Trustees Report*.

The release of this policy brief was followed by a briefing on Capitol Hill on May 15, 2009: “What’s the News in the 2009 Social Security Trustees Report? Policy Responses to the New Projections.” **Margaret Simms** (NASI) chaired the event, which featured commentary by NASI members, **Stephen C. Goss** (Social Security Administration), **Chuck Blahous** (Hudson Institute), **Henry Aaron** (Brookings Institution), and **Virginia Reno** (NASI).

### When to Claim Social Security: Questions to Consider

*In partnership with the  
Actuarial Foundation*

This project assesses the issues that older persons need to consider in deciding when to claim Social Security benefits. The question seems to be straight-forward, but a great deal of confusion surrounds the issues among the public and the experts who advise them. A policy education brief will be released in FY 2010 and disseminated widely. The brief will also be available on the websites of NASI and the Actuarial Foundation.

### **Advisory Committee**

**Anna Rappaport**, Anna Rappaport Consulting

**Alice Wade**, Social Security Administration

**Joseph Applebaum**, U.S. Government Accountability Office



## Policy Research, Education & Communications: Social Security

### Social Security Benefit Adequacy and Financial Solvency

*Sponsored by The Ford Foundation*

NASI held a policy seminar on June 17, 2009, called “The Quest for Adequate Retirement Income.” This policy seminar covered the following topics and featured the following presenters:

Opening remarks: **Janice Gregory**, President of NASI

Commentary: **Jason Furman**, National Economic Policy Council, Office of the President

Developments in Retirement Income Adequacy (**Alicia Munnell**, Center for Retirement Research): How are 21<sup>st</sup> century seniors faring in retirement? What are reasonable goals for wage-replacement? To what extent are retirees achieving those goals? What is the trajectory for Social Security and retirement income adequacy in coming years? What are the implications of recent developments in pensions, savings, 401(k)s, home values, and jobs for the retirement security of boomers and those who follow them?

What Does It Take to Make Ends Meet? (**Joan Kuriansky** and **Shawn McMahon**, Wider Opportunities for Women): What insights can be drawn from new research on measuring economic security for seniors? How does current research help policy makers set retirement income goals? What insights can we draw from new work on an Elder Economic Security Standard in the United States, efforts to update the official poverty thresholds, and international measures of income adequacy (or inadequacy) for families and seniors?

Adequacy for Low-Income Groups as Part of Social Security Solvency (**Kilolo Kijakazi**, The Ford Foundation): Which groups are most at risk of having inadequate incomes in old age? Which groups are most reliant on Social Security? Why is it important to address the adequacy of Social Security benefits for low-income workers, families, and elders as part of achieving long-term solvency in Social Security?

### Social Security Policy Education and Communications

*Supported by:*

*The Ford Foundation's Economic Fairness Initiative*

*The Rockefeller Foundation's Campaign for American Workers*

NASI's over-arching goal is to help policymakers, journalists, and the American people see Social Security policy as a way to improve the adequacy of income, particularly for low-income workers and vulnerable groups. The project will focus on how to bring Social Security into long-range balance in ways that address concerns about benefit adequacy. This is a two-year project, which began in FY 2009, and will continue through FY 2010 and into FY 2011.

Over the next two years, NASI will take a holistic view of retirement security that considers social insurance, pensions and savings together. NASI will also undertake a major survey to learn the views of American voters about the role of Social Security for retirees and working families. Poll results will be analyzed and key findings will be disseminated widely during FY 2010. The project will also flesh out, refine, and vet proposals to make Social Security more adequate.



## Policy Research, Education & Communications: Medicare/Medicaid/Health Coverage

### Administrative Solutions for Expanding Access to Health Care

*Funded by the Robert Wood Johnson Foundation*

*In partnership with the National Academy of Public Administration (NAPA)*

In 2007, NASI and the National Academy of Public Administration (NAPA) convened an expert study panel to conduct a management analysis of issues in expanding access to health care. Over the next two years, the study panel commissioned a series of background papers and developed a final report.

The project team gave a preview of the report at a roundtable session during NASI's 21<sup>st</sup> Annual Policy Conference and working papers were released in March 2009. The final report was released at a seminar at the Urban Institute on July 20, 2009. The report has been disseminated widely among various stakeholders and participants in the 2009 healthcare reform efforts. Additional background papers were published separately by NAPA.

#### **Working Papers Commissioned by the Study Panel**

“Paying a Fair Share for Health Coverage and Care” by **Jill Bernstein**, Consultant

“Administering Health Insurance Mandates” by **C. Eugene Steuerle**, Urban Institute and **Paul N. Van de Water**, National Academy of Social Insurance

“Restructuring Health Insurance Markets” by **Elliot K. Wicks**, Health Management Associates

“Simplifying Administration of Health Insurance” by **Mark Merlis**, Consultant

“The Regulation of Private Health Insurance” by **Timothy Stoltzfus Jost**, Washington and Lee Law School

“Cost Containment and Coverage Expansion” by **Mark Merlis**, Consultant

“Designing a Mixed Public and Private System for the Health Insurance Market” by **Bryan Dowd**, University of Minnesota

“Re-figuring Federalism: Nation and State in Health Reform's Next Round” by **Lawrence D. Brown**, Columbia University

“Designing Administrative Organizations for Health Reform” by **Paul N. Van de Water**, National Academy of Social Insurance

“Recent Changes in Dutch Health Insurance” by **Kieke Okma**, New York University

#### Study Panel on Administrative Issues in Expanding Access to Health Care

**Robert A. Berenson**, *Co-Chair*, Urban Institute

**William A. Morrill**, *Co-Chair*, Caliber Associates

**Kenneth S. Apfel**, University of Maryland

**Beth C. Fuchs**, Health Policy Alternatives, Inc.

**Thomas R. Hefty**, Blue Cross Blue Shield United of Wisconsin (retired)

**Feather O. Houstoun**, William Penn Foundation

**Robert E. Hurley**, Virginia Commonwealth University (emeritus)

**Jack Lewin**, American College of Cardiology

**Catherine G. McLaughlin**, University of Michigan

**Sallyanne Payton**, University of Michigan School of Law

**Michael C. Rogers**, MedStar Health

**Raymond C. Scheppach**, National Governors Association

**Mark D. Smith**, California HealthCare Foundation

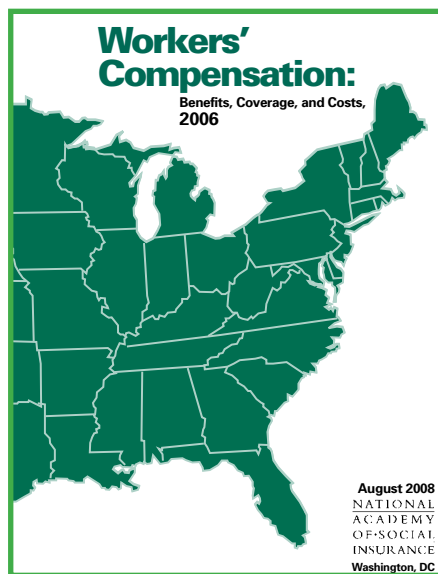


## Policy Research, Education & Communications: Workers' Compensation

### Workers' Compensation Data Project

*Supported by the Social Security Administration, the Centers for Medicare & Medicaid Services, and the U.S. Department of Labor*

*Workers' Compensation: Benefits, Coverage, and Costs, 2006* is the 11<sup>th</sup> in a series begun by NASI to provide the only comprehensive national data on this largely state-run program. The study provides estimates of workers' compensation payments—cash and medical—for all 50 states, the District of Columbia, and federal program providing workers' compensation.



### Activities in FY 2010

In August 2009, under the guidance of its Workers' Compensation Data Panel, NASI issued its 12<sup>th</sup> annual report, *Workers' Compensation Benefits, Coverage, and Costs, 2007*. The report, by **Ishita Sengupta, Virginia P. Reno,** and **John F. Burton Jr.** and their accompanying press release, "Drop in California's Workers' Compensation Spending Slows Growth in National Spending in 2007," note how major reforms in California affected national trends.

### Workers' Compensation Data Panel

**John F. Burton, Jr.**, *Chair*, School of Management & Labor Relations, Rutgers University

**Marjorie Baldwin**, School of Health Management and Policy, Arizona State University

**Peter S. Barth**, University of Connecticut

**Christine Baker**, California Commission on Health and Safety and Workers' Compensation

**Keith Bateman**, Property Casualty Insurers Association of America

**Leslie Boden**, Boston University

**Aaron Catlin**, Office of the Actuary, Centers for Medicare and Medicaid Services

**James N. Ellenberger**, Virginia Employment Commission

**Shelby Hallmark**, Office of Workers' Compensation Programs, U.S. Department of Labor

**Jay S. Himmelstein**, University of Massachusetts Medical School

**Douglas J. Holmes**, UWC - Strategic Services on Unemployment and Workers' Compensation

**H. Allan Hunt**, W.E. Upjohn Institute

**Kate Kimpan**, Dade Moeller & Associates

**Gregory Krohm**, International Association of Industrial Accident Boards and Commissions

**Barry Llewellyn**, National Council on Compensation Insurance, Inc.

**Eric Nordman**, National Association of Insurance Commissioners

**Mike Manley**, Oregon Department of Consumer and Business Services

**Frank Neuhauser**, University of California, Berkeley

**Robert Reville**, RAND

**John Ruser**, Bureau of Labor Statistics, U.S. Department of Labor

**Emily A. Spieler**, Northeastern University School of Law

**Robert Stegert**, Marriott International, Inc.

**Alex Swedlow**, California Workers' Compensation Institute

**Richard A. Victor**, Workers' Compensation Research Institute

**Alex Wasarhelyi**, Social Security Administration

**Benjamin Washington**, Office of the Actuary, Centers for Medicare and Medicaid Services

**William J. Wiatrowski**, Bureau of Labor Statistics, U.S. Department of Labor

## Policy Research, Education & Communications: Workers' Compensation

### Workers' Compensation in California and in the Nation: Benefit and Employer Costs Trends, 1989 – 2005

*Funded by the California Health Care Foundation*

A policy research brief, *Workers' Compensation in California and in the Nation: Benefit and Employer Costs Trends, 1989 – 2005*, provides details on the California reforms and their impact on long-term benefit and cost trends. Co-authored by **Ishita Sengupta** and **Virginia Reno** of NASI and **Christine Baker** and **Lachlan Taylor** of the California Commission on Health and Safety and Workers' Compensation, the brief was featured at the International Forum on Disability Management in Berlin in the fall of 2008. The California analysis was also reprinted in the Fall 2008 issue of *IAIABC Journal*.

### Activities in FY 2010

#### Implications of Developments in Workers' Compensation for Social Security Disability Insurance

*Sponsored by the Social Security Administration*

On November 18, 2009, NASI will hold a day-long policy research seminar in Washington, DC: "Implications of Developments in Workers' Compensation for Social Security Disability Insurance."

The seminar will draw on NASI experts in workers' compensation research, practice, and policy. Speakers include scholars and practitioners in workers' compensation and experts in Social Security disability policy and administration.

Topics to be covered during the seminar include:

Pathways from workers' compensation to Social Security disability insurance: How do workers' compensation claimants learn about and decide to file for Social Security? We will explore policies of state agencies and the workers' compensation claimant's bar in selected states.

Effect of workers' compensation retrenchment: What are the implications of workers' compensation retrenchment on Social Security disability insurance claims and allowances? The seminar will present and discuss the latest research.

Return-to-work strategies: What insights can be gained from return to work initiatives in workers' compensation? Are they transferable to Social Security disability insurance

policies and practices? If so, how? If not, why not?

New insights from SSA Data: What can we learn from fresh analyses of SSA's administrative data on disabled worker beneficiaries with a connection to workers' compensation (WC) or other public disability benefits (OPDB)? Does California yield new insights? It is a big state and enacted major reforms in 2003-2004 to curb the growth in WC spending. California also has a state temporary disability program and significant numbers of public employees who might receive OPD benefits.

SSDI and the offset: What are prospects for simplifying administration of the Social Security benefit offset for workers' compensation? What can be done to ease the administrative burden and equitably serve disabled workers? Are conforming changes needed in the interaction of workers' compensation and Medicare?

## Policy Research, Education & Communications: Disability

### Pathways to Economic Security for People with Disabilities

*In partnership with the National Institute for Disability and Rehabilitation Research (NIDRR)*

On October 16, 2008, NASI convened a half-day policy education workshop called “Pathways to Economic Security for People with Disabilities: Social Insurance, Asset Development, and Supported Employment.” This workshop showcased disability and employ-related research findings and initiatives.

#### **Workshop Speakers and Presenters**

**Margaret Simms**, NASI

**Jennifer Sheehy**, NIDRR

**Margaret Campbell**, NIDRR

**Johnette Hartnett**, Asset Accumulation and Economic Self Sufficiency, National Disability Institute and University of Iowa College of Law

**John Kregel**, Workplace Supports and Job Retention, Virginia Commonwealth University

**Jon Sanford**, Workplace Accommodations, Georgia Institute of Technology

**Richard Balkus**, Disability Demonstration Projects, Social Security Administration

**Laurel Beedon**, NASI

**Sue Suter**, Social Security Administration

**David Wittenburg**, Mathematica Policy Research

**Christopher Button**, U.S. Department of Labor

**Marty Ford**, The Arc and UCP Public Policy Collaboration

**Susan Daniels**, Daniels and Associates

**Michael Morris**, National Disability Institute

### Medicare Coverage for Disability Beneficiaries: How Long Must They Wait?

*Sponsored by the Commonwealth Fund*

At the NASI Annual Policy Conference held on January 30, 2009, a NASI held a special roundtable on “Medicare Coverage for Disability Beneficiaries: How Long Must They Wait?” When Medicare expanded in 1972 to include individuals with significant disabilities, Congress stipulated that people with disabilities must first receive Social Security Disability Insurance (SSDI) for 24 months before gaining Medicare eligibility. This legislation was created to keep costs down and to avoid replacing coverage for a disabled worker still receiving benefits under a private group health plan. An estimated 1.8 million people with disabilities are caught up in this waiting period for Medicare at this time. Many experts believe that it is time to eliminate this wait to provide a healthier foundation for an already sick population.

This roundtable discussion among national experts encouraged attendees to discuss the problem and possible solutions resulting in more thoroughly vetted policy options.

#### **Roundtable Participants**

Moderator:

**Stuart Guterman**,  
The Commonwealth Fund

Discussants:

**James Verdier**, Mathematica  
Policy Research

**Gina Livermore**, Mathematica  
Policy Research

**Rachel Shiffrin**, Medicare Rights  
Center

## Policy Research, Education & Communications: Long-Term Care

### Strengthening Long-Term Care Policy

*Sponsored by The SCAN Foundation*

On October 14 and October 23, 2008, NASI brought together key stakeholders in the long-term care field to help The SCAN Foundation develop specific objectives and strategies to guide their future grant-making priorities. This series of convenings among long-term care experts, practitioners, and policymakers were held in Burbank, California, and in Washington, DC.

NASI built on these initial expert convenings by putting together a special roundtable on “Preparing the Path to Long-Term Care Reform:

Sharing Views and Strategies” at the 21st Annual Policy Conference (held January 30, 2009). The panel included **Ed Howard**, Alliance for Health Reform, and **Anne Montgomery**, Senate Special Committee on Aging, as well as experts from AARP and the Service Employees International Union (SEIU).

NASI continued to promote and facilitate discussions regarding long-term care policies at the 2009 Aging in America Conference in March 2009. At this conference, NASI hosted a press briefing on long-term

care, a workshop on “Strengthening Social Security for Vulnerable Populations” and a workshop on “Opportunities for Meaningful Change in Long-Term Care.”

In May 2009, **Bob Rosenblatt**, Senior Fellow at NASI, and **Pamela Larson**, NASI’s Executive Vice President, spoke to the Long-Term Care Discussion Group of America’s Health Insurance Programs (AHIP) about “Strengthening Social Security’s Role in Long-Term Care.”



## Policy Research, Education & Communications: Unemployment Insurance

### Activities in FY 2010

#### Designing an Initiative on Unemployment Insurance

NASI is developing a year-long project to convene multidisciplinary experts on unemployment insurance and employment assistance to assess what is needed to adapt these programs to the economy and workforce of the 21<sup>st</sup> century. The project will commission papers and report its early findings at an October 2010 event to commemorate the 75<sup>th</sup> anniversary of the UI program and will complete its work by the end of 2010.

Issues to be addressed are likely to include:

Who should be eligible for benefits?

Are the conditions for receipt of benefits appropriate?

What can be done about the secular rise in the regular UI exhaustion rate?

Should the Extended Benefit program be replaced?

What can be done to improve the financial status of the UI system?

## Policy Research, Education & Communications: Economic Policy & Related Topics

### Regulating Markets

*Funded by  
Hubert Humphrey Institute of the  
University of Minnesota*

On October 6, 2008, NASI convened an educational seminar: “Regulating Markets: The Private Abuse of the Public Interest.” At the seminar, NASI members **Lawrence Brown** and **Lawrence Jacobs** spoke about their new book, *The Private Abuse of the Public Interest: Market Myths and Policy Muddles*, with **Henry Aaron** and **Thomas Mann**, both of the Brookings Institution, commenting. **Joni Lavery** moderated the seminar.

### Economic Status of the Aged

With funding to support work on income adequacy, **Virginia Reno** is producing a paper on the “Economic Status of the Aged,” which will be a chapter in the *Handbook on Aging and the Social Sciences* (edited by NASI member **Bob Binstock**) and become one or more briefs in the NASI series.

### Social Protection

*A Ford Foundation Initiative*

On May 9-11, 2009, **Pamela Larson** participated in a Ford Foundation seminar on Social Protection in Lewes, United Kingdom. The conference compared poverty and risk reduction policies in the poorest regions of the world. It was the capstone to a year-long study by the Ford Foundation staff following a plan authored by NASI in February 2008.

## Policy Research, Education & Communications: 2009 Policy Conference

### Social Insurance, Fiscal Responsibility, and Economic Growth - 21<sup>st</sup> Annual Policy Conference

#### Major sponsors:

*The Ford Foundation*

*The Peter G. Peterson Foundation*

Stagnant wages, frozen or terminated pension plans, rising health care costs, and shrinking employer-sponsored health insurance point to a greater need for social insurance. The financial crisis and economic downturn pose new challenges for families and create new pressures on the federal budget. How can social insurance protections be strengthened while taming projected long-term deficits and promoting economic growth? What can be done to control the growth in health spending and improve the quality of care? How should we shape public policies towards retirement income security and health coverage? If additional revenues are needed, how should they be raised? How can policymakers balance the tradeoffs among deficit control, economic stimulus, and the economic wellbeing of American families? Top scholars addressed these issues and more at NASI's 21<sup>st</sup> Annual Conference held on January 29-30, 2009.

#### Conference Co-Chairs

**Howard Fluhr**, The Segal Company

**Robert Greenstein**, Center on Budget and Policy Priorities

**Renée Landers**, Suffolk University Law School

#### Additional Conference Sponsors

##### Bronze Level Sponsors

Altman Fund

Amerigroup

American Board of Internal Medicine

ELM Income Group

Kaiser Permanente

The Segal Company

##### Roundtable Sponsors

Commonwealth Fund

Robert Wood Johnson Foundation

Rockefeller Foundation

SCAN Foundation

##### Dinner Sponsors

AFL – CIO

American Academy of Actuaries

Heinz Family Philanthropies

Society of Actuaries

##### Coffee Break Sponsor

Johnson & Johnson

##### Membership Breakfast Sponsor

Unum



Robert Greenstein,  
Center on Budget and Policy Priorities



**Policy Research, Education & Communications: 2009 Policy Conference**

Conference Agenda - Day One: Thursday, January 29, 2009

**Welcome and Opening Speaker**

Welcome:

**Margaret Simms**, *President*, NASI

Opening speaker:

“Sharing Risk In a New Era of Responsibility” by  
**Jacob Hacker**, University of California, Berkeley



Margaret Simms, President of NASI

**Session I: Social Insurance: Two Visions for the Future**

How should America cope with risks to economic security that affect virtually everyone, such as illness, loss of earning capacity, and retirement? By 2035, when boomers are retired, what would be ideal policies to have in place? What actions should we take now to realize that vision?

Moderator:

**William Rodgers III**, John J. Heldrich Center for Workforce Development, Rutgers University

Presenters:

“Coping with Economic Risk: The Complementary Roles of Social Insurance and Personal Responsibility” by **Henry Aaron**, The Brookings Institution

“Mutual Obligation and the American Social Contract” by  
**Stuart Butler**, The Heritage Foundation



Jacob Hacker, Vice President of NASI

**Luncheon Speaker:**

“Protecting the Vulnerable, Spurring the Economy: Social Insurance in an Economic Downturn” by **E.J. Dionne**, *The Washington Post* and The Brookings Institution

(Agenda continued on next page)

*Strategic Goal 1*

*Convene key audiences, produce policy analyses and synthesis products and promote the open exchange of ideas to develop solutions to policy problems.*



E.J. Dionne, *The Washington Post*

## Policy Research, Education & Communications: 2009 Policy Conference

Conference Agenda - Day One: Thursday, January 29, 2009  
(Continued)

### Session II: How Can We Improve Quality and Control Growth in Health Spending?

What tools exist (or need to be developed) to improve the quality of health care and control the growth in spending? What do we know, and how can we apply that knowledge? What do we need to learn?

Moderator:

**Judy Feder**, Georgetown Public Policy Institute, Georgetown University

Presenters:

“Refining and Applying Existing Tools” by **William Scanlon**, Health Policy R&D

“The Role of Delivery System Reform” **Jay Crosson**, The Permanente Federation

“Realigning Incentives to Promote Affordable Quality Care” **Joseph Antos**, American Enterprise Institute

“Path to High Performance - A 2020 Vision and How to Get There” by **Cathy Schoen**, The Commonwealth Fund

### Session III: What Vision of Retirement Income Security Will Guide Policy?

Do we need to rethink the “three-legged stool” of retirement income? As employers move away from defined benefit pension plans, are we left with just social insurance and personal saving? What vision of an American retirement income system of the future should guide policy?

Moderator:

**Ken Apfel**, University of Maryland

Presenters:

“The Social Security Leg” by **Joseph Quinn**, Boston College

“Reforming Social Security to Restrain Costs and Increase Effectiveness” by **Andrew Biggs**, American Enterprise Institute

“DB Plans - The Real Deal” by **Beth Almeida**, National Institute on Retirement Security

“Complimentary Roles of Social Security and Private Savings” by **Lisa Mensah**, The Aspen Institute

### Reception and Presentation of the 2008 Heinz Dissertation Award

#### Dinner Speaker

“On the Threshold of a New Social Compact” by **Diana Aviv**, The Independent Sector



Diana Aviv, The Independent Sector



Lisa Mensah, The Aspen Institute



## Policy Research, Education & Communications: 2009 Policy Conference

Conference Agenda - Day Two: Friday, January 30, 2009

### **Annual NASI Membership Meeting and Breakfast**

(NASI Members only)

#### **Roundtable Discussions**

“Preparing the Path to Long-Term Care Reform: Sharing Views and Strategies”

Participants:

**Rhonda Richards**, AARP

**Elizabeth Royal**, Service Employees International Union

**Ed Howard**, Alliance for Health Reform

**Anne Montgomery**, Senate Special Committee on Aging

“Administrative Solutions for Expanding Access to Health Care”

Participants:

**Paul N. Van de Water**, NASI/Center on Budget and Policy Priorities

**Robert A. Berenson**, The Urban Institute

**William A. Morrill**, ICF Consulting

**Terry F. Buss**, National Academy of Public Administration

“Strengthening Social Security: What is at Stake? What Will it Take?”

Participants:

**Virginia Reno**, National Academy of Social Insurance

**Mark Schmitt**, The American Prospect

**Margot Brandenburg**, The Rockefeller Foundation

“Medicare Coverage for Disability Beneficiaries: How Long Must They Wait?”

Participants:

**Stuart Guterman**, The Commonwealth Fund

**Jim Verdier**, Mathematica Policy Research

**Gina Livermore**, Mathematica Policy Research

**Rachel Shiffrin**, Medicare Rights Center

### **Session IV: What Roles Will Medicare, Medicaid, and SCHIP Fill?**

How should these federal health programs be strengthened? How can Medicare serve as a model for efforts to slow the growth of costs in the rest of the health care system? What other steps should be taken to address Medicare and Medicaid's long-run financial challenges?

Moderator:

**Renée Landers**, Suffolk University Law School

### **Session IV: What Roles Will Medicare, Medicaid, and SCHIP Fill? (Continued)**

Presenters:

“Are Medicare, Medicaid and SCHIP Bridges to Expanding Coverage” by **Renée Landers**, Suffolk University Law School

“Value-Based Purchasing in Medicare - Going Beyond Pay-for-Performance” by **Robert Berenson**, The Urban Institute

“Medicaid's Role in Health Reform Efforts” by **Diane Rowland**, Kaiser Family Foundation

“SCHIP - Now and Then” by **Cindy Mann**, The Center for Children and Families

“Medicare - Solution or Problem?” by **James Capretta**, Ethics and Public Policy

#### **Luncheon Speaker**

“Curbing High Health Costs: The Linchpin for Successful Health Reform” by **John Sweeney**, AFL-CIO

### **Session V: How Will We Pay for the Public Programs We Want?**

What sources of revenue are available to pay for rising health care costs and the aging of the population? What kind of tax structure would promote fiscal responsibility and economic growth? Does the U.S. need a value-added tax?

Moderator:

**Robert Greenstein**, Center on Budget and Policy Priorities

Presenters:

“No Free Lunch - The Case for a Value Added Tax” by **Michael Graetz**, Yale Law School

“Progressive Tax Reform in the Era of Globalization” by **Jason Bordoff**, Policy Director, The Brookings Institution

“A Promise to Keep - Towards Intergenerational Equity” by **Alexander Hertel-Fernandez**, Economic Policy Institute

“Budgeting for Future Entitlements” by **Alice Rivlin**, The Brookings Institution

## Policy Research, Education & Communications: On the Web and in the News

### NASI on the Web

NASI began a major website redesign project in FY 2009. The new site, scheduled for launch in mid-FY 2010, includes enhanced search tools, which will enable site visitors to more easily locate the many resources and publications available for free on NASI's website. The new site will also feature an enhanced Member Services section. The new searchable Member Directory will enable NASI members to identify colleagues with similar interests or working in issues of mutual concern. These new features are designed to foster formal and informal collaboration among members.



*Website re-design made possible thanks to a grant from The Ford Foundation.*

### NASI Blog: Policies to Promote Economic Security for Families

In December 2008, NASI launched a new blog called *Policies to Promote Economic Security for Families*. The NASI blog gathered ideas and recommendations (in the form of blog posts) from NASI members regarding what policies the new Administration and Congress should focus on to promote economic security for American families. At the end of FY 2009, there were over 30 postings to the blog from NASI members. The NASI blog will be integrated into the re-designed website as a new “Discuss” section, which will foster greater dialogue between interested members of the general public and among the NASI community.

### NASI in the News

NASI's policy research products and publications receives coverage in a variety of newspapers, congressional wire services, academic journals, and public policy websites and blogs. Some of the print and web-based publications and organizations that cited NASI's work in FY 2009 include:

- *Docuticker*
- *Newsday*
- *Science Blog*
- New America Media
- AFSCME
- *EHS Today*
- *Baltimore Sun*

### Accessing NASI Publications

Most NASI publications are available for free download. Please visit the NASI website: [www.nasi.org](http://www.nasi.org)

### Strategic Goal 2

*Communicate NASI's outputs effectively to key audiences.*

### Social Insurance Sourcebook

*The Social Insurance Sourcebook is a unique resource for journalists and researchers new to the field of social insurance. Please visit the NASI website: [www.nasi.org](http://www.nasi.org)*

## Leadership Development: Overview

### Strategic Goal 3

*Develop future leaders of social insurance in the United States.*

### Strategic Goal 4

*Use, recognize and sustain the expertise of members in NASI's work.*

### Leadership Development and NASI Associates

Students, young professionals, and researchers early in their career who successfully complete one of NASI's leadership development programs are invited to become Associates of the Academy. NASI Associates are promising young leaders in the field of social insurance, public policy, nonprofit management, and/or a closely related field. NASI Associates have:

Demonstrated a sincere interest in social insurance through course work, dissertations, internships and/or relevant work experience in social insurance and/or related fields;

Demonstrated a clear understanding of social insurance administration and/or policy through research and policy papers, dissertation, or other relevant scholarly work;

Distinguished themselves inside and outside of the classroom with a high GPA, outstanding research, writing and/or analytical skills, and strong leadership ability; and are

Supportive of and willing to contribute to NASI's goals of furthering public education, developing new leaders, promoting research and providing forums for discussion among experts, stakeholders, policy makers, and administrators in the field of social insurance.

### Leadership Development Programs

#### Internships:

*Washington Internship on Social Insurance*

*Somers Aging and Long-Term Care Research Internship*

*Nathan J. Stark Internship for Non-profit Development*

*Eileen Sweeney Graduate Internship in Disability Policy*

#### Intern Academy

John Heinz  
Dissertation Award



### A Unique Opportunity for NASI Members and Partners

Each year, NASI's Intern Selection Committees select top students from universities across the United States. These young leaders make a significant contribution to the work of the organizations at which they are placed. If you or your organization is interested in hosting a summer intern or participating in our leadership development programs, please contact Pamela Larson, Executive Vice President of NASI, at [plarson@nasi.org](mailto:plarson@nasi.org) or at (202) 452-8097. This is a unique opportunity to help foster future policy leaders and advocates in social insurance.

## Leadership Development: Internship Programs

### Internship Programs

*Funded by AARP, The Retirement Research Foundation, the Somers, Stark and Sweeney families, individual donors, and by cost sharing from many of the organizations benefiting from the interns' service.*

Each summer, NASI hosts four 12-week internship programs:

- (1) Washington Internship on Social Insurance
- (2) Somers Aging and Long-Term Care Research Internship
- (3) Nathan J. Stark Internship for Nonprofit Development
- (4) Eileen Sweeney Graduate Internship in Disability Policy

Each year, outstanding graduate and upper division undergraduate students are selected by each program's designated Intern Selection Committee. Interns are then matched with various organizations in Washington, DC, based on their qualifications and the organization's needs. Each intern receives an honorarium of \$3,000. Interns are typically hosted by an organization at the request (or thanks to the sponsorship of) a NASI Member.

Throughout the summer, interns from all four programs have the opportunity to interact with NASI Members through a weekly seminar series and through the Intern Academy. The weekly seminar series includes site visits to learn more about social insurance research, practice, and policymaking.

For the 2009 summer program, 18 interns from across the country worked on a variety of policy research and analysis projects, ranging from writing a report on the effects of caregiving on women's retirement, to helping organize a "Health Care Rally" for 10,000 union members, to analyzing health information technology (HIT) and HIT legislation. This year, the weekly seminars covered a variety of salient policy topics (see schedule in right-hand column). Interns also interacted with their mentors at the annual Robert M. Ball Award Event, which took place on June 17, 2009.

2009 Washington, Somers, Stark, and Sweeney Interns



### 2009 NASI Intern Seminars and Events

May 28: Orientation  
**Kim Hildred**, Social Security Subcommittee, House Ways and Means Committee  
**Rachel Shiffrin**, Medicare Rights Center

June 4: Health Policy Politics and the Case of Medicare Part D  
**William Vaughn**, Consumers Union

June 11: Demographics  
**Mathew Greenwald, Greenwald and Associates, Inc.**

June 17: Robert M. Ball Awards

June 18 Social Security  
**Larry DeWitt**, Social Security Administration

June 25: Group seminar at NASI  
**Kenneth Apfel**, University of Maryland

July 2: State Economics  
**Claire Hushbeck**, Consultant

July 9: Unemployment Insurance  
**Rich Hobbie**, State Workforce Agencies

July 16: Site Visit to Pennswood Village

July 23: Social Insurance Policy and Advocacy  
**John Rother**, Policy and Strategy, AARP

July 30: Intern Academy

August 6: Social Security and Long-Term Supports and Services  
**Lee Goldberg**, SEIU

August 13: Medicare and Medicaid in the Context of Health Reform  
**Juliette Cubanski**, Kaiser Family Foundation

August 14: Tour of Rock Creek Manor

August 20: How the Public Views Social Insurance  
**Jocelyn Kiley**, Pew Research Center

September 3: Health Policy Reform and Medicine  
**Brian Biles**, George Washington University

## Leadership Development: Washington Internship on Social Insurance

### Washington Internship on Social Insurance

Washington Interns have the opportunity to:

Work with leading experts and officials who are recognized authorities on social insurance policy, Social Security, Medicare, Unemployment Insurance, Medicaid, the Children's Health Insurance Program, disability long-term care, health care financing, and related public and private programs; gain valuable work experience; make professional contacts and network in their areas of interest; and discuss current policy issues and attend Congressional hearings.

### How 2009 Washington Interns describe their experience

*My expectations were exceeded. I was very involved in researching related legislation and creating issue briefs, coming up with topics to write blog posts, and many other in depth projects.*

-- Kristen Arnold

*I got to do interesting work that was integral to the AFL's work on health care reform and met a number of interesting people that were all quite willing to give me advice and talk to me about their work.*

-- Adrienne Alexander

*I appreciate opportunity to see and participate in the community organizing and analytical efforts as I think it will be beneficial in my future career.*

-- Yuliya Chorna

*I enjoyed feeling that I was a valued team member. I also enjoyed being able to work on a national publication [the annual Workers' Compensation Report]. NASI is a wonderful mentoring environment.*

-- Kimberly Soaper

### 2009 Washington Intern Selection Committee

**Laurel Beedon**, National Academy of Social Insurance

**Nancy Coleman**, Consultant

**Karen Matsuoka**, Office of Management and Budget

**Mark Nadel**, Georgetown Public Policy Institute

**Patrick Purcell**, Congressional Research Service

### 2009 Washington Interns

#### **Oke Agahro**

Graduate Student, Washington University - St. Louis

Placement: **AARP Advocacy**

Supervisor: **Martin Burns**

Project: Ms. Agahro provided quantitative analysis on weekly field reports, conducted media tracking and press coverage research to inform state and national advocacy strategies. She also assisted Strategic Advocacy Information department of AARP Advocacy by developing, managing and communicating about AARP priority issue campaigns as well as worked to assist staff coordinate "Divided We Fail" messages. In addition, Ms. Agahro managed and helped develop departmental SharePoint site, gathered research and compiled information/recommendations regarding priority advocacy issues and drafted articles for GRA newsletter.

#### **Kristen Arnold**

Undergraduate Student, Michigan State University

Placement: **Wider Opportunities for Women (WOW)**

Supervisor: **Stacey Sanders**

Projects: Ms. Arnold split her time between the Elder Economic Security Initiative and the Family Economic Self-Sufficiency Project during her time at Wider Opportunities for Women. She wrote issue briefs on bills, researched housing issues related to elder economic security, social insurance issues such as unemployment insurance, paid sick/safe days, and the workforce investment act to developed fact sheet brochures. Ms. Arnold also researched transportation equity to create a paper with transportation policy recommendations. In addition to performing some evaluative work with data to track WOW's state partners' outreach efforts and maintain both departments' blogs on the WOW website.

#### **Adrienne Alexander**

Graduate Student, University of Minnesota

Placement: **AFL-CIO**

Supervisor: **Gerry Shea / JoAnne Volk**

Projects: Under the direction of the National Field Coordinator for the AFL-CIO, Ms. Alexander preformed research to demonstrate the effects of taxation of benefits across the country, developed talking points for the state affiliates of the AFL-CIO on the major aspects of the health care reform legislation and for testimony before congressional committees, and attended meetings with the AFL-CIO's coalition of partners, congressional staff and state affiliates. Her duties also included tracking congressional members and outreach, lobbying efforts, helping coordinate/track rapid response efforts, and administrative duties.

## Leadership Development: Washington Internship on Social Insurance

### 2009 Washington Interns (Continued)

#### **Yuliya Chorna**

Graduate Student, Syracuse University

Placement: **AFL-CIO**

Supervisor: **Gerry Shea / Kate Gjertson**

Projects: Ms. Chorna, under the direction of the National Field Coordinator for the AFL-CIO, worked in a team with other interns to calculate the health benefit tax effect on the population with different income level and family status, assisted in preparing policy analysis (summaries) and reflect changes to the health care bills as they went through the various congressional committees. She also prepared “health care stories” summary reflecting people’s challenges in the current state of health care for posting at the AFL-CIO blog, prepared summaries on health care financing options, kept press monitoring, monitored the Labor targeted actions on the Senate and House members and their reaction, assisted in the organization of the Health Care Rally which brought around 10,000 union members in support of healthcare reform, and attend meetings/briefings/conference calls and prepared brief notes.

#### **Alice Cosgrove**

Undergraduate Student, Fordham University

Placement: **NIRS**

Supervisor: **Beth Almeida**

Projects: Ms. Cosgrove, produced a “one pager” titled Pension 101, performed research to increase the media contact database for the organization. She also performed Medicare Advantage benefit analysis; supported the policy analysis of legislation affecting Medicare Advantage and health care reform in addition to patient-centered medical home collaborative.

#### **Rachel Dolan**

Graduate Student, Georgetown University

Placement: **IHPS**

Supervisor: **Rick Curtis**

Projects: Ms. Dolan researched existing health-co-ops, created a reference guide for exchange provisions in legislation, and assisted with the revision and editing of policy proposals. She also worked with State policy staff on a project to identify and track changes on Medicaid support for nursing home care, home and community-based services as a result of financial downturn, and federal government initiatives including financial support for State Medicaid programs.

#### **Maura Foley**

Undergraduate Student, University of Wisconsin - Madison

Placement: **CMA**

Supervisor: **Alfred Chiplin**

Projects: Ms. Foley reviewed the effectiveness of printed health materials in Pennsylvania hospitals as part of their coordinated care initiative, attended and reported on various briefings/hearings related to Medicare. In addition, she researched relative value systems and physician payments, gathered research and background papers on why “entitlements” matter, worked to determine how to write about this topic for lay audiences.

#### **Pin-Yi Ko**

Undergraduate Student, Stanford University

Placement: **SHIRE**

Supervisor: **Ruth Perot**

Projects: Research: Ms. Ko generated materials for letters of support, presentations, and the SHIRE website in addition to attending briefings and meeting on health and general administrative duties. She also performed National Health Information Technology (NHIT) work, which included, analysis of health information technology and Tri-Comm legislation as well as summarizing health information technology articles.

#### **Serina Reckling**

Graduate Student, University of California - Los Angeles

Placement: **Grantmakers In Health**

Supervisor: **Faith Mitchell**

Project: Ms. Reckling authored an issue paper on the cost of chronic disease, composed summary articles of audio conferences, and researched background papers on issues the program staff were interesting in researching.

#### **Kimberly Soaper**

Undergraduate Student, University of North Carolina - Chapel Hill

Placement: **National Academy of Social Insurance**

Supervisor: **Ishita Sengupta**

Projects: Ms. Soaper, performed various duties in the preparation of NASI’s annual workers’ compensation report. Those duties included verified data for the report, updating numbers in the report, proofreading the document and writing a “Sources and Methodologies” report to be published online and to accompany the workers’ compensation report. She also assisted with events to disseminate NASI research by compiling a list of Capitol Hill contacts. Ms. Soaper also assisted NASI’s research and project associates by completing research for current projects and assisting with the planning of the Intern Academy.

## Leadership Development: Somers Aging and Long-Term Care Research Internship

### Somers Aging and Long-Term Care Research Internship

The Somers Aging and Long-Term Care Internship is designed to recognize qualified students and provide them with a challenging learning experience. This internship, compared with the Washington Internship on Social Insurance, focuses on aging and long-term care issues and is more research oriented.

Somers interns have the opportunity to:

Work with leading long-term care policy experts;

Gain valuable work experience; and

Make professional contacts and network in their area of interest; and attend relevant seminars, symposia, and make site visits to long-term care facilities.

### 2009 Somers Intern Selection Committee

**Jennie Chin Hansen**, AARP

**Tom Hoyer**

**T. Franklin Williams**, University of Rochester

**William Rodgers**, Rutgers University

**Caroline Haarman**

**Genia Lindsey**, DePaul College of Law

### 2009 Somers Interns

#### **Walter Dawson**

Doctoral Student, University of Oxford (UK)

Placement: **Senate Special Committee on Aging**

Supervisor: **Debra Whitman**

Projects: Mr. Dawson primarily assisted the staff of the US Senate Special Committee on Aging with hearings, background research, investigations, and reports while completing his thesis on “The Impact of Interest Groups on Long-Term Care Policy in the US.”

#### **Krystal Knight**

Graduate Student, University of Florida

Placement: **CARF**

Supervisor: **Susanne Matthiesen**

Projects: Ms. Knight’s projects included creating two fact sheets, one for providers and one for consumers that explain the accreditation process for rehabilitation facilities, the benefits of accreditation, CARF-CCAC and its role in the accreditation process, drafting intents and examples to further explain the new accreditation standards for person-centered long-term care communities, and editing corrections in old standards manual and prepare it for revisions. In addition she research the regulatory environment in the state of California and whether or not there was any room to push for accreditation as “deemed status” in place of state regulation for continuing care retirement communities (CCRCs). She also worked to identify any “champions” for accreditation in the state and compile their contact information.

(Continued on next page)

### How 2009 Somers Interns describe their experience

*I liked the dynamic nature of the office- that there were always new things that needed to be addressed. This kept the work interesting.*

-- Walter Dawson

*...All of my experience at NASI have been helpful. There isn't one I could have gone without and still had a meaningful internship.*

-- Krystal Knight

*My experience ... provided a substantive research opportunity to not only strengthen my research and policy analysis skills, but enhance my understanding of social insurance programs in order to fulfill my goal of obtaining a graduate degree in health policy.*

-- Christian Laurence

## Leadership Development: Somers Aging and Long-Term Care Research Internship

### 2009 Somers Interns (Continued)

#### **Christian Laurence**

Undergraduate Student, Ithaca College

Placement: **Medicare Rights Center**

Supervisor: **Paul Precht**

Projects: Mr. Laurence researched various long-term care consumer coverage options and different proposed financing options for providing long-term care coverage through Medicare. He also helped to identify and update new State Pharmaceutical Assistance Program (SPAP) eligibility standards for Medicare Rights Center's consumer Medicare Interactive website across the country, the largest independent source of Medicare information and assistance in the U.S. Write policy articles for the organization's bi-weekly newsletter, Medicare Watch, highlighting current research and Medicare policy updates.

#### **Maria Rossi**

Undergraduate Student, Pennsylvania State University

Placement: **Alzheimer's Association**

Supervisor: **Mike Splaine**

Projects: Ms. Rossi primarily spent her time researching state statutes for state-funded biomedical- biotechnology research programs and wrote a compare and contrast policy analysis. She also researched lifespan respite and developed a fact sheet distributed to all of the local chapters of the Alzheimer's Association, researched state statutes to find information on Alzheimer's research state plans in addition to researching state long-term care partnerships.

#### **Alma Vega**

Doctoral Student, University of California - Berkeley

Placement: **AARP Foundation**

Supervisor: **Deborah Briceland-Betts**

Project: Ms. Vega researched and wrote a report on the effects of caregiving on women's retirement and drafted memos of understanding between AARP Foundation and an organization with whom they collaborate. She also translated a questionnaire from English to Spanish and interviewed organizations on the efficacy of an AARP Foundation project.

#### **Kelsey Walter**

Graduate Student, Augsburg College

Placement: **National Health Policy Forum**

Supervisor: **Carol O'Shaughnessy**

Projects: Ms. Walters's main projects included researching and writing a National Health Policy Forum draft on Assisted Living and participating in site visits and forums, as well as attending pertinent policy and planning meetings.



2009 Somers Interns

### How 2009 Somers Interns describe their experience

*The staff [at Alzheimer's Association] was very interested in working with me, learning about my interests and teaching me along the way. Doing this allowed me to gain strong bonds with others in the office and not just my preceptor.*

-- Maria Rossi

*I loved the intelligent, conscientious, and extremely supportive individuals with whom I worked. I felt supported at every moment.*

-- Alma Vega

*I enjoyed the chance to write a professional paper that may be published on the agency's website.*

-- Kelsey Walter



## Leadership Development: Nathan J. Stark Internship for Nonprofit Development & Eileen Sweeney Graduate Internship in Disability Policy

### Nathan J. Stark Internship for Nonprofit Development

**Nathan J. Stark** was instrumental in ensuring the future of several Washington, DC-based not-for-profit health policy organizations by stabilizing their finances and governance structures and mentoring their leaders. He left a legacy of lessons and results for improving health policy through fund-raising, governance, and leadership development.

The Nathan J. Stark Internship for Non-Profit Development provide students with the opportunity to learn about non-profit organizations, how they work, how they raise funds, and how they make a difference. Interns will be based at the National Academy of Social Insurance or another non-profit organization.

### 2009 Stark Intern

#### **Hiram Lopez**

Undergraduate Student, University of California - Santa Barbara

Placement: **National Academy of Social Insurance**

Supervisor: **Pamela Larson**

Projects: As the Nathan J. Stark intern, Mr. Lopez learned how the NASI Board of Directors works firsthand, including writing up the Board meeting minutes and worked on the donor appreciation tasks for the Robert M Ball Awards. He also worked on selected development tasks for NASI's long-term care and health policy projects and was responsible for making sure the NASI Associates from past years are up-to-date on opportunities for their continued participation in NASI.

### Eileen Sweeney Graduate Internship in Disability Policy

**Eileen P. Sweeney** devoted her life to improving the lives of people with disabilities, children, battered women, the poor, and the elderly. Her early Washington career was devoted to disability issues and she played a key role in achieving the disability benefit reforms of 1983 and 1984. She subsequently turned her attention to other vulnerable populations, the needs of children, those served by the Temporary Assistance for Needy Families (TANF) program, and the Social Security and Supplemental Security Income (SSI) programs.

NASI, in partnership with the Children's Defense Fund (CDF) and the Center on Budget and Policy Priorities (CBPP), established the Eileen Sweeney Graduate Internship in honor and memory of Eileen. This program selects graduate students aspiring to a career in social policy with a focus on disability. The Sweeney Intern has the opportunity to:

Work with leading disability policy experts;

Gain valuable work experience; and

Make professional contacts and attend relevant seminars, and symposia.

### 2009 Sweeney Intern

#### **Annie Goldsand**

Graduate Student, Arizona State University

Placement: **AARP Foundation**

Supervisor: **Lori Strauss**

Projects: Ms. Goldsand's projects included creating a tool for Hispanic or African American women to access public benefits i.e. SSDI: analyze the barriers, and create a tool that assists them in navigating the public benefits system and developing an annotated bibliography /fact sheet for the AARP Foundation and other organizations when presenting on public benefits. She also worked to suggest legislation to enhance accessibility of public benefits, develop a distribution plan for the tools, and secure one new partnership that will use these tools. In addition she coordinated the updating of state fact sheets and developed the infrastructure to market and distribute the tools to volunteers and organizations serving low-income communities.

### How 2009 Stark and Sweeney Interns describe their experience

*I liked everything about my placement, but I was exceptionally grateful for the friendly work environment.*

-- Hiram Lopez

*I loved being in an environment that was challenging, and therefore having to pull myself "up" and learn and challenge myself even more.*

-- Annie Goldsand

## Leadership Development: Intern Academy

### Intern Academy

“Embracing Your Future: Interns Discuss Social Security and Medicare” - 4<sup>th</sup> Annual Intern Academy

*Sponsored by: AARP, Institute for the Future of Aging Services of American Association of Homes and Services for the Aged, Employee Benefit Research Institute, The Ford Foundation, Global Policy Solutions, LLC, The Kaiser Family Foundation, National Council of La Raza, and Schering-Plough*

On July 17, 2008, NASI convened its fourth annual Summer Intern Academy, “Embracing Your Future: Interns Discuss Social Security and Medicare.” Attended by over 90 individuals, the Intern Academy offered interns, students, and others an opportunity to discuss and debate the future of social insurance programs and better understand the challenges facing 2008 presidential candidates as they worked with Social Security and Medicare policy. Attendees represented a wide variety of academic disciplines, geographic locations and organizations. Racial and ethnic diversity information was self-reported, and while a majority of attendees were white, there were also six Asian, six African-American, one Latino and two multi-racial interns who attended the academy. NASI created Facebook and LinkedIn pages to insure that the event was effectively marketed to its target audience.

The day-long seminar featured prominent guest speakers and expert panels including NASI members **Douglas Andrews, Paul Van De Water, Stephen Goss, Katherine Thornton, Joni Lavery, Thomas Miller, and Nancy Altman**, in addition to, an interactive activity and opportunities for networking. The interactive activity provided attendees the opportunity to formulate their own recommendations for fixing Social Security’s long-term financing shortfall. As a result of the activity, participants showed no interest in raising the tax or rate but generally were in favor of raising the earnings limit subject to Social Security tax. Another popular option was to raise the retirement age for full benefit entitlement to age 68, views are generally consistent with surveys taken of the views of the American public at large.

### **Activities in FY 2010**

“Social Security Academy for Interns: Don’t Leave Washington Clueless About Social Security” - 5<sup>th</sup> Annual Intern Academy

*Supported by The Ford Foundation and The Rockefeller Foundation*

*With donations from DC United, E Street Cinema, the National Aquarium in Baltimore, and the International Spy Museum*

On July 30, 2009, NASI convened its fifth annual summer intern academy. The 2009 Intern Academy, “Social Security Academy for Interns: Don’t Leave Washington Clueless about Social Security,” offered interns, students, and others an opportunity to discuss and debate the future of Social Security with peers and experts in the social insurance field.

This free event, attended by 82 individuals featured expert speakers and an interactive activity, which provided attendees the opportunity to make their own recommendations for future Social Security policy changes. This activity resulted in a majority of attendees recommending an improvement in Social Security benefits and an increase in Social Security revenue to sustain those improved benefits. As a measure of the success of the Social Security Academy, NASI had fifty-nine attendees complete event evaluation forms at the end of the event. Some 45 of those who completed evaluations reported the event as either “Excellent” or “Very Good” out of a total average number of 53 responses, or 85%.



## Leadership Development: John Heinz Dissertation Award

### John Heinz Dissertation Award

*Sponsored by the Teresa and John Heinz Fund of the Heinz Family Foundation*

The John Heinz Dissertation Award recognizes and promotes outstanding research in social insurance by leading young scholars. The Award is given to the author of the best dissertation on a topic relevant to the planning and implementation of social insurance policy. Each year, the Heinz Dissertation Award Selection Committee reviews nominations received from universities across the country.

The winner of the 2009 John Heinz Dissertation Award was **Lauren Hersch Nicholas**, currently a Postdoctoral Research Fellow at the University of Michigan. Nicholas wrote her dissertation on “Medicare Advantage? Managed Care and Medicare Quality, Cost and Enrollment” while she was a doctoral student in the Department of Social Policy and Policy Analysis at Columbia University. Her dissertation was nominated for the award by **Irwin Garfinkel**, Mitchell I. Ginsberg Professor of Contemporary Urban Problems at Columbia University School of Social Work. The award, which includes a \$2,500 prize, was presented during NASI’s 21<sup>st</sup> Annual Conference in Washington, DC.

The John Heinz Dissertation Award is issued in honor of **Senator John Heinz**. As a member of the Senate Finance Committee, he helped chart the Social Security rescue effort in 1983 and worked tirelessly to insulate the Social Security Trust Funds from the rest of the Federal budget. John Heinz was a leading expert in the Senate on private pensions and health care and aging policy. He was a member of the Academy’s Board of Advisors from the organization’s inception in 1986. Robert M. Ball, Founding Chair of NASI, said, “John Heinz was a leading proponent of Social Security with a true appreciation of the value of social insurance principles in developing public policy. He was a true supporter of the National Academy of Social Insurance and contributed importantly to its objectives.” NASI is privileged to award this prize in his honor.

#### Heinz Dissertation Award Selection Committee

**Robert Hudson**, *Chair*, Boston University,

**Christine Bishop**, Brandeis University

**Rashi Fein**, Harvard Medical School

**James Morone**, Brown University



Lauren Nicholas and Robert Hudson

Letter from the Executive Vice President: *Pamela J. Larson*



Dear Academy Members, Associates, Sponsors, Partners, and Friends,

In 1986, the founders of NASI began creating a community of experts with the ability to deepen public understanding of social insurance issues and policies.

As this FY 2009 Annual Report reflects, NASI has developed a sizeable foundation of human capital that continues to preserve what is good about the past, while producing knowledge needed for today and for the future.

Among NASI's strengths are:

- A reputation for excellence in its nonpartisan information on social insurance issues;
- A large and diverse membership and leadership;
- A growing cadre of young Associates who expand NASI's capacity to promote in inter-generational dialogue;
- An ability to convene large interdisciplinary conferences, as well as more specialized seminars and workshops,

which yield tremendous learning value to attendees and the sponsors who support these events;

- A strong network of funders and supporters, including federal government agencies who annually support NASI's workers' compensation project and state government agencies who provide annual data;
- A broad network of nonprofit partners who help to magnify NASI's impact, while NASI enhances their capacity to fulfill their respective missions.

NASI's uniqueness in today's policy environment is its member-volunteers – experts and accomplished professionals from various areas of disciplines and practice. Our members value and believe in NASI's mission, and they want NASI to be more aggressive in presenting its message and the impact of its work. We will accomplish this with new communication strategies and technologies. In FY 2010, we will launch a re-designed website that will help NASI members more easily collaborate with each other and help site visitors locate the resources they need.

NASI has achieved maturity as a center of intellectual activity, and the activities listed in this 2009 Annual Report reflect our capacity to produce unique research and valuable insights. We look forward to amplifying our impact among key audiences, including:

- Executive and legislative branch policymakers and thought leaders
- The media
- Young leaders (from students who come to DC to learn about public

policy to young professionals at the start of their careers)

- Organizations representing a broad spectrum of stakeholders and interested parties (such as youth, advocacy, women, civic, business and labor organizations, communities of color, and others)

Over the past 24 years, NASI has hosted 21 annual conferences, increased its membership to over 800 experts and leaders, and engaged over 300 young people through internships, conferences, and recognition of their research. On the revenue side, the organization has raised over \$28 million in foundation grants, government contracts, corporate, labor and individual donations, dues, fees for service, royalties, and three bequests (from **Mary Ross**, **Robert M. Ball**, and **Anne Somers**).

In FY 2009, NASI convened hundreds of individuals – through our annual conference, study panels and other meetings – to analyze how we can strengthen America's social insurance. Our programs have highlighted key policy considerations and produced road maps for providing economic security for workers and families.

As you read this annual report, I hope you will note planned activities that you would like to help us carry out. I look forward to hearing your ideas and recommendations for the future.

Thank you,

A handwritten signature in cursive script that reads "Pamela J. Larson".

Pamela J. Larson  
Executive Vice President

## The NASI Network: New Members of the Academy

### Membership in the National Academy of Social Insurance

Over 800 of the nation's leading experts on social insurance are members of the National Academy of Social Insurance. Members' affiliations include universities, think tanks, business, labor, legislative and executive branches of the federal or state governments, and interest groups. Academy Members include policy analysts in income security and health care financing, economists, political scientists, physicians, actuaries, administrators of public and private benefit programs, social workers, sociologists, historians, journalists and scholars and practitioners in related fields.

### **Criteria for Membership**

Membership is an honor for which individuals are nominated by two current Academy members. Individuals are considered for membership if they:

Have demonstrated a sustained interest in social insurance and/or related fields. Social insurance includes broad-based systems for insuring workers and their families against economic insecurity caused by loss of income from work or the cost of health care. The Academy's scope includes such social insurance systems as Social Security, Medicare, unemployment insurance, workers' compensation and related social assistance and private employee benefits.

Have distinguished themselves by improving the quality of research, teaching, policy making, administration or financing of the systems mentioned above; and

Are supportive of and willing to contribute to the Academy's goals of furthering public education, developing new leaders, promoting research and providing forums for discussion among experts, stake-holders, policy makers, and administrators in the field.

### **Process for Selecting New Members**

The Membership Committee meets to review nominations in light of the membership criteria above and makes recommendations to the Academy's Board of Directors. The Board acts on the Committee's recommendations. Those approved by the Board are invited to join the Academy.

### 2008 Membership Committee

**Jill Quadagno**, *Chair*, Florida State University

**Joseph Applebaum**, U.S. Government Accountability Office

**Charles Betsey**, Howard University

**John F. Burton, Jr.**, Rutgers University

**Marty Ford**, The Arc and UCP Public Policy Collaboration

**Katie Horton**, HealthPolicy R&D

**Regina Jefferson**, The Catholic University of America

### New Members (December 2008)

Congratulations to the forty-two individuals who were accepted as members of the National Academy of Social Insurance in 2008! (Listed in alphabetical order)

**Alan Auerbach**  
University of California, Berkeley

**Cynthia Bascetta**  
Government Accountability Office

**Daniel Beland**  
Johnson-Shoyama Graduate School of Public Policy, University of Saskatchewan

**Brian Boon**  
Commission on Accreditation of Rehabilitation Facilities

**Craig Caplan**  
Centers for Medicare and Medicaid Services

**Christopher Chaplain**  
Social Security Administration

(Continued on next page)

## The NASI Network: New Members

### New Members (Continued)

**Kerwin Charles**

University of Chicago

**Courtney Coile**

Department of Economics,  
Wellesley College

**Russell Davis**

Summit Health Institute for  
Research and Education

**John Dyer**

Food and Drug Administration

**Barry Eigen**

Social Security Administration

**Gary Engelhardt**

Syracuse University

**Ingrid Evans**

National Association of State  
Work Force Agencies

**Gerry Fairbrother**

Cincinnati Children's Hospital  
Medical Center

**Lynda Flowers**

AARP

**Lynn Friss Feinberg**

Family Caregiver Alliance

**Thomas Gustafson**

Arnold & Porter, LLP

**Robert Guthrie**

Curtin University of Technology

**Alvin Headen**

North Carolina State University

**Peter Heller**

SAIS, The John Hopkins University

**G. William Hoagland**

CIGNA

**Kim Holland**

Insurance Commissioner of the  
State of Oklahoma

**Denise Holmes**

Association of Academic Health  
Centers

**Douglas Holmes**

UWC

**Douglas Holtz-Eakin**

DHE Consulting, LLC

**Ken Jacobs**

University of California, Berkeley

**Laurence Kotlikoff**

Boston University

**Joan Kuriansky**

Wider Opportunities for Women

**Thea Lee**

AFL-CIO

**Christine MacDonell**

Commission on Accreditation  
of Rehabilitation Facilities

**George A. (Sandy) Mackenzie**

AARP

**Anne Montgomery**

U.S. Senate Special Committee  
on Aging

**Daniel O'Brien**

Social Security Administration

**Thomas Parrot**

Social Security Administration

**Joseph Piacentini**

U.S. Department of Labor

**Bruce Siegel**

George Washington University  
Medical Center

**Brian Smedley**

The Joint Center for Political  
and Economic Studies

**David Stoesz**

Virginia Commonwealth University

**Juliann Sum**

University of California, Berkeley

**Margaret Weir**

University of California, Berkeley

**Julie Whittaker**

Congressional Research Service

**Alvin Winters**

Social Security Administration

## The NASI Network: Social Insurance Research Network (SIRN)

### Social Insurance Research Network (SIRN)

Part of the Social Science Research Network (SSRN), SIRN is a series of five e-journals that publish abstracts of research papers in all areas of social insurance. SIRN is designed to circulate abstracts of research papers (both working and published papers) in all areas of social insurance, including poverty and inequality.

### Accessing the Social Science Research Network (SIRN)

To download or submit papers, or for more information, please visit the SIRN website: [www.ssrn.com/sirn/index.html](http://www.ssrn.com/sirn/index.html) or call the NASI office at (202) 452-8097.

### SIRN E-Journals

#### *Journal on Disability Income & Work Injury Compensation*

Edited by: **Edward H. Yelin**, University of California, San Francisco and **William G. Johnson**, Arizona State University

This journal publishes abstracts of working papers and papers accepted for publication on all topics related to disability insurance and workers' compensation. This includes papers on workers' compensation, social security disability insurance, private sick leave and disability insurance, family medical leave, and supplemental security income.

#### *Journal on Health Care Delivery & Financing*

Edited by: **Katherine Swartz**, Harvard School of Public Health and **Timothy S. Jost**, Washington and Lee University School of Law

This journal publishes abstracts of working papers or papers accepted for publication concerning health insurance, health care financing and delivery systems (including long term care), and health care quality.

#### *Journal on Poverty, Income Distribution & Income Assistance*

Edited by: **Peter Edelman**, Georgetown University Law Center and **Timothy Smeeding**, Syracuse University

This journal publishes abstracts of working papers and papers accepted for publication on topics related to income distribution, poverty and income assistance. Programmatically, it includes Supplemental Security Income, Temporary Assistance to Families with Needy Children, the Earned Income Tax Credit, Food Stamps, individual development accounts, Medicaid and other federal, state or local systems designed to ensure adequate economic resources for low-income individuals and families.

(Journal listings continued on next page)

## The NASI Network: Social Insurance Research Network (SIRN)

NASI sponsors the e-journals within SIRN to increase the cross-disciplinary conversation about social insurance. All active NASI members receive a free subscriptions to SIRN. NASI Members also volunteer as editors for each journal. The journals are open to non-NASI members who subscribe to SIRN/SSRN. At the end of FY 2009, there were over 240,000 downloads for all papers in all five journals of SIRN.

### SIRN E-Journals (Continued)

*Journal on Social Security, Pensions & Retirement Income*  
 Edited by: **Patricia E. Dilley**, University of Florida

This journal publishes abstracts of working papers or papers accepted for publication on all topics related to old age pensions and retirement. This includes papers on social security, employment based pensions and other publicly provided or tax-favored mechanisms for retirement income.

### *Journal on Unemployment Insurance*

Edited by: **Marc Baldwin**, Washington State Employment Security Department

This journal publishes abstracts of working papers and papers accepted for publication on all topics related to unemployment compensation and services. It includes papers on the federal-state unemployment insurance system, the design and delivery of job search and training services, challenges faced by displaced, dislocated and unemployed workers.

### Strategic Goal 2

*Communicate NASI's outputs effectively to key audiences.*

### Strategic Goal 4

*Use, recognize and sustain the expertise of members in NASI's work.*

E-Journal Title	Activity from 2005-2009 (Cumulative)	
	Total # of Papers	Total # of Downloads
<i>Journal on Disability Income &amp; Work Injury Compensation</i>	96	5,588
<i>Journal on Health Care Delivery &amp; Financing</i>	1,154	69,058
<i>Journal on Poverty, Income Distribution &amp; Income Assistance</i>	739	48,744
<i>Journal on Social Security, Pensions &amp; Retirement Income</i>	1,226	99,659
<i>Journal on Unemployment Insurance</i>	293	20,739
<b>Totals</b>	<b>3,508</b>	<b>243,788</b>



## The NASI Network: 2009 Robert M. Ball Award

### 2009 Robert M. Ball Award for Outstanding Achievements in Social Insurance

The winner of the 2009 Robert M. Ball Award is **Alicia H. Munnell**, Peter F. Drucker Professor of Management Sciences and Director of the Center for the Retirement Research at Boston College. Each year, the Robert M. Ball Award is presented to an individual whose recent work has made a significant impact on the U.S. social insurance system. Munnell was selected for the 2009 Award because of her accomplishments in furthering public understanding and informed policymaking on pensions and retirement security. She has focused on communicating complicated issues to diverse audiences: the Congress, the media, the business world, and other public groups. In addition to serving as the first President of NASI, Munnell also built the Retirement Research Center at Boston College, which promotes informed policymaking and implementation.

The award is named in honor of **Robert M. Ball**, Commissioner of Social Security from 1962 to 1973, who has been the single most influential individual in advancing American social insurance programs for 60 years. Ball founded NASI in 1986 and continued to advise presidential administrations and policy-makers and write on Social Security, Medicare, national health insurance and welfare until his death in January 2008 at the age of 93.

**Alicia H. Munnell** is the Director of the Center for Retirement Research at Boston College and the Peter F. Drucker Professor in Management Sciences at Boston College's Carroll School of Management. Previously, Prof. Munnell was a Member of the President's Council of Economic Advisers and Assistant Secretary of the Treasury for Economic Policy. She spent most of her professional career at the Federal Reserve Bank of Boston, where she became Senior Vice President and Director of Research in 1984. Prof. Munnell is currently a member of the American Academy of Arts and Sciences, the Institute of Medicine, the National Academy of Public Administration, and the Pension Research Council at Wharton. She is a member of the Board of the Wheeling-Pittsburgh Steel Corporation, The Century Foundation, the National Bureau of Economic Research, and the Pension Rights Center. She currently serves on the Advisory Board of *The Journal on Social Security, Pensions, and Retirement Income* of the Social Insurance Research Network (SIRN). A founder and first President of the National Academy of Social Insurance, Prof. Munnell earned her Ph.D. in Economics from Harvard University.



#### Nominating Committee

**Lisa Mensah**, *Chair*, The Aspen Institute

**Peter A. Diamond** (2008 Winner), Massachusetts Institute of Technology

**Lori L. Hansen**, Consultant

**Judith R. Lave**, University of Pittsburgh

**Hans Riemer**, AARP Public Policy Institute

**The NASI Network: 2009 Robert M. Ball Award**



Alicia Munnell and family

Honorary Co-Chairs

**Kenneth S. Apfel**  
**Jo Anne B. Barnhart**  
**Alan Blinder,**  
**Lisle C. Carter**  
**Senator Edward M. Kennedy**  
**Senator John F. Kerry**  
**Congressman Earl Pomeroy**  
**Stanford G. Ross**  
**Janet Yellen**



The Honorable Congressman Earl Pomeroy

Event Committee

**Henry J. Aaron, *Co-Chair***  
**Nancy J. Altman, *Co-Chair***  
**Jeffrey Brown**  
**Gary R. Burtless**  
**Peter A. Diamond**  
**Andrew D. Eschtruth**  
**Stephen C. Goss**  
**Eric Kingson**  
**Lisa Mensah**  
**Michael Orszag**  
**Hans Riemer**  
**John Rother**  
**Steven Sass**  
**Annika Sundén**

The NASI Network: 2009 Robert M. Ball Award



Kenneth S. Apfel  
(Chair of NASI's  
Board) with  
Michael S. Graetz  
(Member, Board  
of Directors)

Margaret Simms  
(President of NASI)  
with Janice Gregory  
(President-Elect of  
NASI)



Clark Munnell,  
Henry Healy, and  
Alicia Munnell



## The NASI Network: 2009 Robert M. Ball Award

### 2009 Robert M. Ball Award Donors

NASI would like to recognize and thank the following organizations, companies and individuals for their generous support of the 2009 Robert M. Ball Award (listed in alphabetical order by giving level):

#### **Patrons (\$5,000)**

AARP  
National Committee to Preserve Social Security and Medicare

#### **Sustainers (\$2,500)**

AFL-CIO  
Elm Annuity  
Margaret Simms and Charles Betsey  
Kaiser Permanente  
The Century Foundation

#### **Benefactors (\$1,000)**

Amerigroup  
Kenneth S. Apfel  
Jacob Hacker  
Walter H. Haydock  
Richard Hobbie  
Beth Kobliner  
Jerry L. Mashaw and  
Anne U. MacClintock  
The Segal Company  
The Society of Actuaries

#### **Sponsors (\$500)**

Henry J. and Ruth Aaron  
Nancy J. Altman  
Gilbert Omenn and Martha Darling  
Peter A. Diamond  
Janice M. Gregory  
Daniel Halperin  
G. William Hoagland  
Health & Biomedical Law Concentration,  
Suffolk Univ. Law School  
Johnson & Johnson Services, Inc.  
Pamela J. Larson & Art Isaacs  
Stephen McConnell

#### **Sponsors (\$500) (Continued)**

Lisa Mensah  
Marilyn Moon  
National Health Policy Group  
National Institute on Retirement Security  
Pension Rights Center  
Jill Quadagno  
Joseph F. Quinn  
Robert Reischauer  
Virginia P. and Lee Reno  
Hans Riemer  
Alice Rivlin  
Stanford G. Ross  
Steven Sass  
Isabel Sawhill  
Lawrence H. Thompson  
Clara Beth and Paul Van de Water  
Bruce Vladeck

#### **Friends (\$250)**

David Affeldt  
John Ameriks  
Dwight K. Bartlett  
Diane Braunstein  
Jeffrey Brown  
Gary Burtless  
Robert Butler  
Change to Win  
Francis Crowley  
Paul R. Cullinan  
John Cutler  
Len Fishman  
Jonathan Forman  
Lou Glasse  
Stephen C. Goss  
Willis D. Gradison, Jr.

#### **Friends (\$250)**

(Continued)  
Bob Greenstein  
John C. Hambor  
Lori Hansen  
Ed Howard  
Eric Kingson  
Clark Munnell  
Hank Patterson  
James Poterba  
Anna M. Rappaport  
Jane L. Ross  
Anthony Sarmiento  
Schering Plough  
Robert Y. Shapiro  
Janet Shikles  
Nancy G. Shor  
Mauricio Soto  
Eugene Steuerle  
Annika Sundén  
Katherine Swartz  
Eric Toder  
Stephen Utkus  
Denton R. Vaughn  
Christian Weller  
WISER

## The NASI Network: Individual Donors

### Individual Donors

NASI would like to recognize and thank the following individuals for their generous support of NASI's mission and programs during FY 2009 (in alphabetical order by giving level): (Note: Robert M. Ball Award donors are listed on page 41)

#### **Visionaries (\$1,000 and above)**

Douglas Andrews

Doris Ball

Carroll L. Estes

Richard A. Hobbie

Patricia Owens

David Stark

Konnie K. &amp; Paul S. Stark

Lawrence Summers

#### **Strategists (\$500 and above)**

Joel Kavet

David C. Lindeman

Stephen McConnell

Mark Novitch

Henry Patterson

Joseph F. Quinn

Lisbeth Schorr

Lucile S. &amp; Nathan J. Stark

William Zachry

#### **Educators (\$300 and above)**

Jean &amp; Ned Bandler

John Boyd

William S. Custer

Michael E. Gluck

Bill Gradison

Janice M. Gregory

Jake Jones

John Kimpel

Pamela J. Larson

Richard Leone

Katharine Levit

Jerry L. Mashaw

Wilhelmine Miller

Paul H. O'Neill

John L. Palmer

Eric Rodriguez

Stanford Ross

Patricia P. Smith



Kenneth Apfel, Diana Aviv, and Robert Greenstein

## The NASI Network: Individual Donors

### Individual Donors (Continued)

NASI would like to recognize and thank the following individuals for their generous support of NASI's mission and programs during FY 2009 (in alphabetical order by giving level):

#### Mentors (\$150 and above)

Nancy Amidei  
 David Baldrige  
 Merton C. Bernstein  
 Joan B. Bernstein  
 Christopher M. Bone  
 John F. Burton  
 Carolyn Clancy  
 David Colby  
 Sara R. Collins  
 Janet Currie  
 Richard E. Curtis  
 Norman Daniels  
 Gerben De Jong  
 Patricia E. Dilley  
 Melisa Favreault  
 Robert B. Friedland  
 Susan Grad  
 Kathryn Haslanger  
 Roger Hickey  
 Charles A. Jeszeck  
 Emily Kessler  
 George Knott  
 Beth Shaw Koblner  
 Warren R. Luckner  
 Walter Mankoff  
 Kenneth McGill  
 Mary E. McKay  
 David Mechanic  
 Robert Reischauer  
 Dallas L. Salisbury  
 Robert Y. Shapiro  
 Margaret C. Simms  
 C. Eugene Steuerle  
 Katherine Swartz  
 Dale H. Yamamoto

#### Friends

Melvyn Aaronson  
 John Ameriks  
 Laurel E. Beedon  
 Thomas Bradley  
 Diane K. Braunstein  
 Stuart M. Butler  
 Sharon F. Canner  
 Alan Cohen  
 Fay Lomax Cook  
 Kurt Czarnowski  
 Nancy J. Dapper  
 Peter A. Diamond  
 Peter Dibbern  
 Beatrice Disman  
 Gary Engelhardt  
 Jennifer Erkulwater  
 Lex Frieden  
 Robert Gillingham  
 Lou Glasse  
 Sam Gutterman  
 Shelby Hallmark  
 Ruth S. Hanft  
 Marjorie Honig  
 Rodney Hood  
 Edward F. Howard  
 Morone James  
 Regina Jefferson  
 Allen Jensen  
 Beverly Johnson  
 Marjorie Kanof  
 George Kaplan  
 Judith R. Lave  
 Wilhemina A. Leigh  
 Lance Liebman  
 Marsha Lillie-Blanton  
 George A. Mackenzie  
 Larry G. Massanari

Michael R. McMullan  
 Carmelo Mesa-Lago  
 Sarah Mitchell  
 Joel Najjar  
 Beth Nolan  
 James Perrin  
 David Podoff  
 Franklin D. Raines  
 Tom Rankin  
 Anna Rappaport  
 Jane L. Ross  
 Linda A. Ruiz  
 Gerald M. Shea  
 Nancy G. Shor  
 James R. Tallon, Jr.  
 Craig Thornton  
 Katherine Thornton  
 Eric Toder  
 Cori E. Uccello  
 Kent Weaver  
 Gail R. Wilensky  
 Bernard Wixon  
 James A. Wooten  
 Edward H. Yelin  
 Howard Young  
 Jennifer Zeitzer  
 Ilene Zeitzer



## The NASI Network: Institutional Supporters and Partners

### Institutional Supporters and Partners

NASI would like to recognize the following foundations, institutions, and associations for their generous support of NASI's mission and programs, including NASI's annual conference and Robert B. Ball Award during FY 2009 (listed alphabetically):

AARP	Ford Foundation	Peter G. Petersen Foundation
Actuarial Foundation	Health & Biomedical Law Concentration, Suffolk University Law School	Retirement Research Foundation
AFL-CIO		Robert Wood Johnson Foundation
Altman Fund	Teresa and John Heinz Fund of the Heinz Family Foundation	Rockefeller Foundation
Alzheimer's Association		SCAN Foundation
American Academy of Actuaries	Hubert Humphrey Institute, University of Minnesota	Schering-Plough
American Board of Internal Medicine	Johnson & Johnson	Seattle Foundation
Amerigroup	Joint Center for Political and Economic Studies	Segal Company
Aspen Institute	Kaiser Permanente	Social Security Administration
California Health Care Foundation	Metlife Foundation	Society of Actuaries
Centers for Medicare & Medicaid Services	National Committee to Preserve Social Security and Medicare	Summit Health Institute for Research and Education, Inc.
Century Foundation	National Health Policy Group	University of North Carolina
Commonwealth Fund	National Institute for Disability and Rehabilitation Research	Unum
ELM Income Group		U.S. Department of Education
Family of Anne M. Somers	National Institute on Retirement Security	U.S. Department of Health & Human Services
Family of Nathan J. Stark	Pension Rights Center	U.S. Department of Labor
Family of Eileen P. Sweeney		

**We hope you will join these organizations in supporting NASI's programs in FY 2010:**

The Ford Foundation

The Rockefeller Foundation

The SCAN Foundation

## The NASI Network: Ways to Support NASI

### How You Can Support NASI

A donation of any size will help NASI continue its work in a forward-looking way, anticipating and responding to policy issues affecting economic risks to employers, employees and their families. With your support at one of the following giving levels, we can accomplish many things.

#### **Visionary: \$1,000+**

Your contribution enables NASI to engage in strategic planning for long-term impact on public policy making and public understanding of social insurance. As a Visionary, you are invited to participate in an annual “brainstorming” meeting with NASI’s Board of Directors.

#### **Strategist: \$500+**

Your contribution enables NASI to develop ideas and recommendations from Academy Members into new research and education projects that yield social insurance policy and implementation strategies for today and for the future.

#### **Educator: \$300+**

Your contribution supports NASI’s ability to widely disseminate valuable research findings, policy analyses and recommendations; maintain our website and provide free access to numerous publications.

#### **Mentor: \$200+**

Your contribution supports leadership development efforts, supplements honoraria/scholarships for summer interns, and helps NASI reach a new generation of leaders in the field of social insurance.

**Friend:** A gift of any amount is greatly appreciated and will support NASI’s mission.

Individuals can contribute online by visiting the website or by mail. For more information, please call (202) 452-8097. NASI is a nonprofit, 501(c)(3) public charity (FEID# 52-1451753) and your contribution can be claimed as a tax deduction if you itemize on your income tax return.

### Membership Involvement and Volunteers

NASI cannot accomplish its mission and objectives without the dedication and commitment of our Members and volunteers. Throughout FY 2009, dozens of Members, Associates and other supporters contributed to the policy research and analyses, leadership development, communication, and fundraising activities of NASI. Members extended their expertise and knowledge (and gave much of their valuable time) by participating in study panels, serving on advisory committees, reviewing and editing briefs and reports, speaking at conferences and events, mentoring interns, and providing strategic input on organizational development goals.

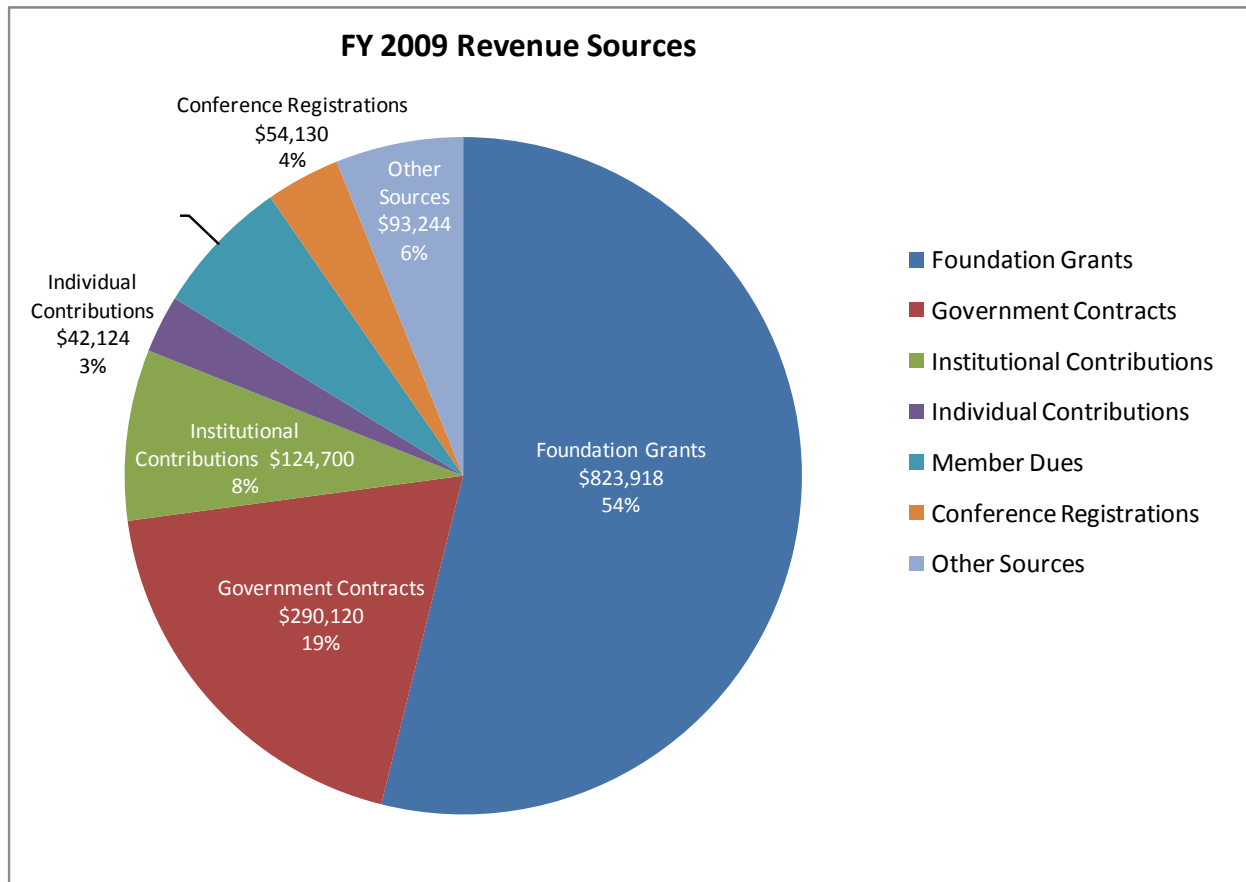
Thanks to your support, NASI is able **to promote understanding of how social insurance contributes to economic security and a vibrant economy.** Together, we influence policymaking towards providing economic security for all Americans today -- and towards strengthening our nation’s social safety nets to protect future generations. The names of the individuals who make NASI’s work possible are recognized throughout the pages of this annual report.

### Strategic Goal 5

*Increase funding to support the mission and vision of NASI.*



**Administration & Financials: Revenue Sources**



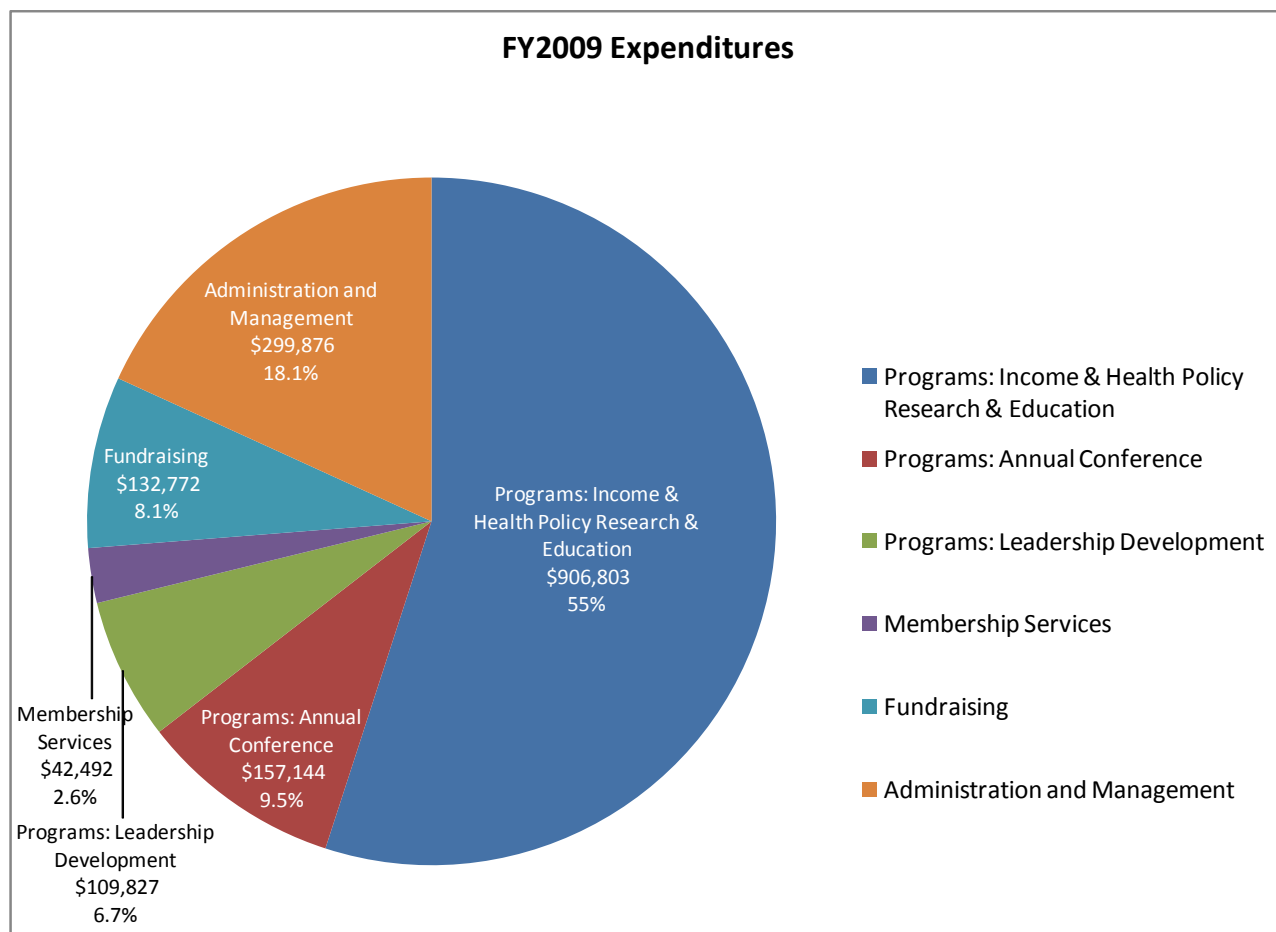
Finances in FY 2009

During FY 2009, NASI programs were primarily supported by foundation grants, government contracts (including with the Centers for Medicare & Medicaid Services, the Social Security Administration, and the U.S. Department of Labor), and contributions from other institutions and associations. Member dues, individual donations, and conference registration fees provided NASI with critical general operating support. NASI was not immune to the economic downturn. Like other nonprofit organizations, NASI suffered from a low influx of revenues and reduced financial reserves in the form of earnings on investments. NASI made a structured effort to examine its mission and programs in strategic terms. With guidance from the Finance Committee, NASI identified ways to develop and diversify revenue sources moving forward into FY 2010.

Finance Committee

- Rich Hobbie**, *Chair*, National Association of State Workforce Agencies
- Donna Butts**, Generations United
- Ted Groom**, Groom Law Group
- Mark Novitch**, George Washington University
- Jane Ross**, National Research Council of The National Academies
- Marina Weiss**, March of Dimes Birth Defects Foundation

## Administration & Financials: Expenditures



### 2009 Audit Committee

**Christine Baker**, *Chair*,  
California Commission  
on Health and Safety and  
Workers' Compensation

**Jill Quadagno**,  
Florida State University

**Lawrence Thompson**,  
The Urban Institute

### Organizational Efficiency in FY 2009

During FY 2009, approximately 74% of NASI's total budget was spent directly on program activities (Policy Research & Education, the Annual Conference, and Leadership Development) and membership services. Administration and fundraising expenses totaled approximately 26% of the total budget. With new organizational benchmarks and assessment tools, NASI will continue to improve its operational efficiency.

### Strategic Goal 5

*Increase funding to support the mission and vision of NASI.*

## Administration & Financials: NASI Staff

### The Staff of the National Academy of Social Insurance

**Jill Braunstein** is the Director of Communications at NASI. She oversees the implementation of media outreach and visibility strategies for the Academy and manages its website. Prior to joining NASI, Braunstein was Associate Executive Director and Director of Communications at the Institute for Women's Policy Research. Braunstein has a B.A. in Journalism and an M.A. in Public Policy with a concentration in Women's Studies, both from the George Washington University.



**Yolanda Hampton** started in December 2008 as NASI's Finance Manager. Hampton maintains NASI's accounting system and is responsible for keeping records and providing financial information to the management. In addition, she assists in other finance related areas, such as preparation of budgets, payroll, and employee benefits.

**Deric Joyner** is the Project Associate at NASI. Joyner leads and assists in the planning and execution of NASI events, including study panels and the annual conference. He also conducts outreach for NASI's internship programs and assists with all aspects of NASI communications. Prior to joining NASI, Joyner was Project Associate with Communities for Quality Education, where he supported their communications team in the planning and implementation of integrated media campaigns. Joyner received his B.A. in Political Science from Morehouse College.



**Elizabeth Lamme** is the Income Security Policy Assistant at NASI. Prior to joining NASI, she worked on policy issues affecting women at the Older Woman's League and the Women's Research and Education Institute, organized for Hillary Clinton's presidential campaign, and provided direct services to survivors of domestic violence. Lamme earned her B.A. in Psychology from the State University of New York at Geneseo. She is in the process of completing an M.A. in Government from Johns Hopkins University.



**Pamela J. Larson** has directed the National Academy of Social Insurance since 1987. First as Executive Director, then as Executive Vice President, she continues to work closely with the Academy's Members, Board, and staff to implement its programs on leadership development, public understanding, and policy education as well as develop its services to social insurance professionals and its fund-raising initiatives. Before coming to the Academy, she was Director of Membership Services for the National Association of Area Agencies on Aging. Prior to that she served as Director of Long-Term Care Services, Southwestern Pennsylvania Area Agency on Aging, and as a Health Services Specialist for the United Mine Workers of America Health and Retirement Funds. A member of NASI since 1993, Larson received her masters of regional planning from Cornell University.



**Joni Lavery** is the Senior Policy Associate for Income Security at NASI. Lavery has co-authored several NASI briefs and reports, including the report, *Uncharted Waters: Paying Benefits from Individual Accounts in Federal Retirement Policy*. She also manages NASI's Social Security policy education activities, and she has directed several NASI conferences. Prior to joining NASI, Lavery was a Presidential Management Fellow, based in the Social Security Administration's Office of Retirement Policy, where she was a social science research analyst and examined implementation issues in Social Security reform. Lavery earned her Masters degree in Social Work from the George Warren Brown School of Social Work at Washington University in St. Louis, where she focused her academic work on income security and social policies affecting older adults. Lavery left the NASI staff in October 2009 to work at the Social Security Administration.



## Administration & Financials: NASI Staff

### The Staff of the National Academy of Social Insurance (Continued)



**Crystal Nelson** is Executive Assistant at NASI and has been a staff member for over two years. Nelson wears many hats at NASI, including staffing the Membership Committee and managing the membership database. She is currently a Nursing major at Frederick Community College.



**Kristine Quinio** is the Development & Membership Associate at NASI. Quinio works closely with the EVP and other staff members to implement strategic fundraising initiatives. Prior to joining NASI, she provided management and development services to nonprofit clients as an Associate Director at Orr Associates, Inc. (OAI). She has also provided strategic communications services to Fortune 500 companies while at APCO Worldwide. Quinio has a B.A. in Political Science from the University of Southern California and an M.A. in Communication, Culture & Technology (CCT) from Georgetown University. She was a Washington Intern at NASI in 2005.



**Virginia Reno** is Vice President for Income Security at NASI, where she plans and directs the Academy's work on retirement income, workers' compensation, disability insurance and related programs. She led the Academy's 2005 study, *Uncharted Waters: Paying Benefits from Individual Accounts in Federal Retirement Policy*, and prior NASI studies of the economics of privatizing Social Security and of ways to strengthen the Social Security disability programs to promote rehabilitation and work. Before coming to the Academy, Reno held research and policy positions at the U.S. Social Security Administration (SSA). She was staff director of the Policy Council that advised the Commissioner of Social Security on legislative, regulatory and administrative issues and directed the program analysis staff in SSA's office of research and statistics. A founding member of NASI, Reno served in the U.S. Peace Corps in West Africa and received her B.A. from the Honors College of the University of Oregon.



**Bob Rosenblatt** is a Senior Fellow at NASI and a free-lance writer and editor. He worked with NASI staff to create the *Social Insurance Sourcebook* for NASI's website. He writes weekly postings on long-term services and supports for The SCAN Foundation's website "Research and Policy Library." Rosenblatt was a Washington correspondent for the *Los Angeles Times* from 1975-2002. He covered economic and financial stories, health policy, and created the paper's beat dealing with aging and retirement issues. Rosenblatt was also co-chair of the Editorial Board of *Aging Today*, the publication of the American Society on Aging, and writes a column, "Potomac Sources." Rosenblatt has a B.A. in economics from the City College of New York and an M.A. in journalism from the Columbia University Graduate School of Journalism.



**Ishita Sengupta** is the Workers' Compensation Research Associate at NASI. She co-authors the annual workers' compensation reports of NASI, which provides the only comprehensive national data of workers' compensation payments—cash and medical—and federal program providing workers' compensation. Sengupta has a Ph.D. in Economics from the University of Connecticut.





Bob Zachariasiewicz and grandkids